

TFE4188 - Introduction to Lecture 6

# Oversampling and Sigma-Delta ADCs

# Goal for today

Understand **why** there are different ADCs

Introduction to **oversampling** and **delta-sigma** modulators

# 1999, R. Walden: Analog-to-digital converter survey and analysis

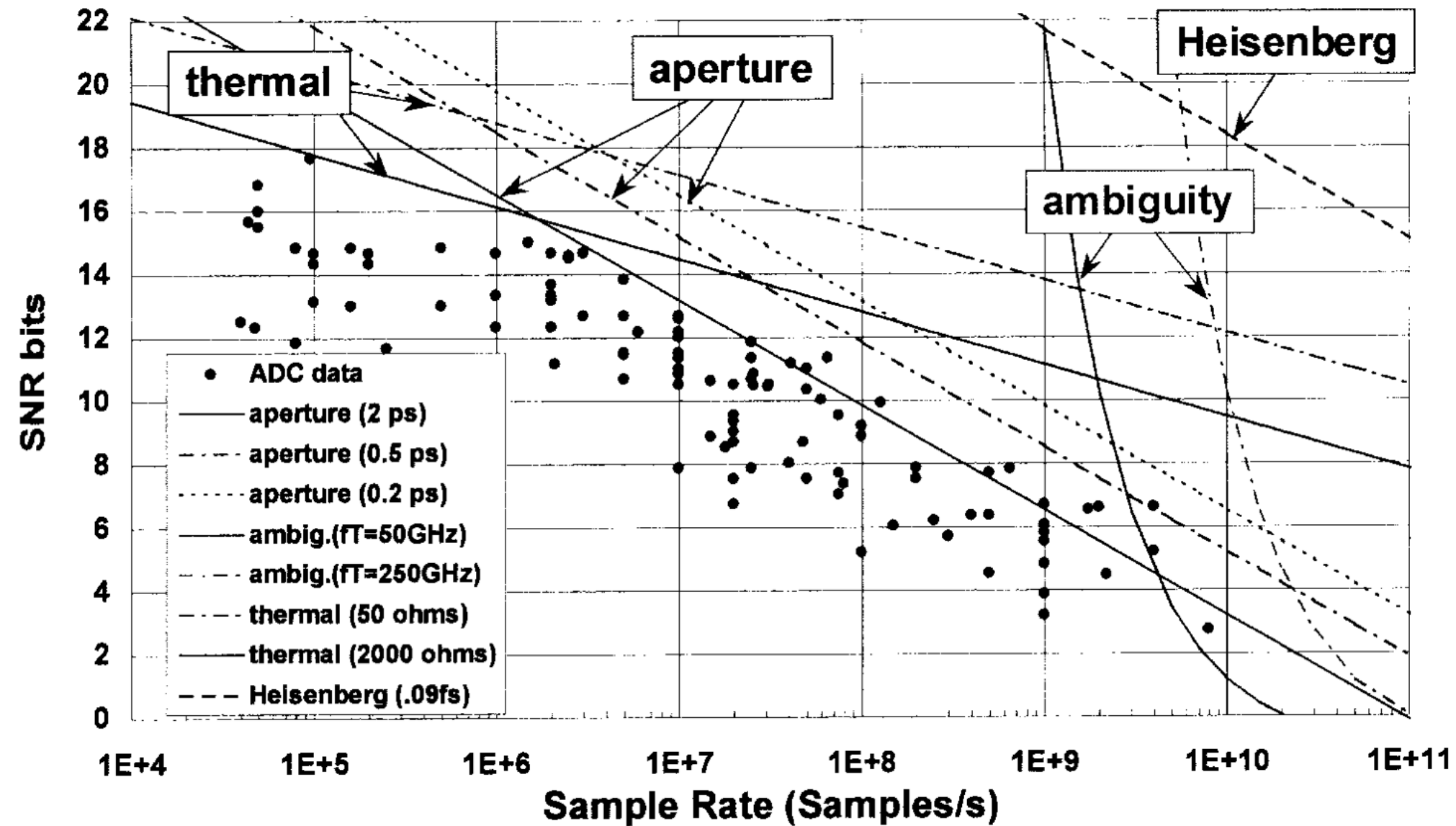
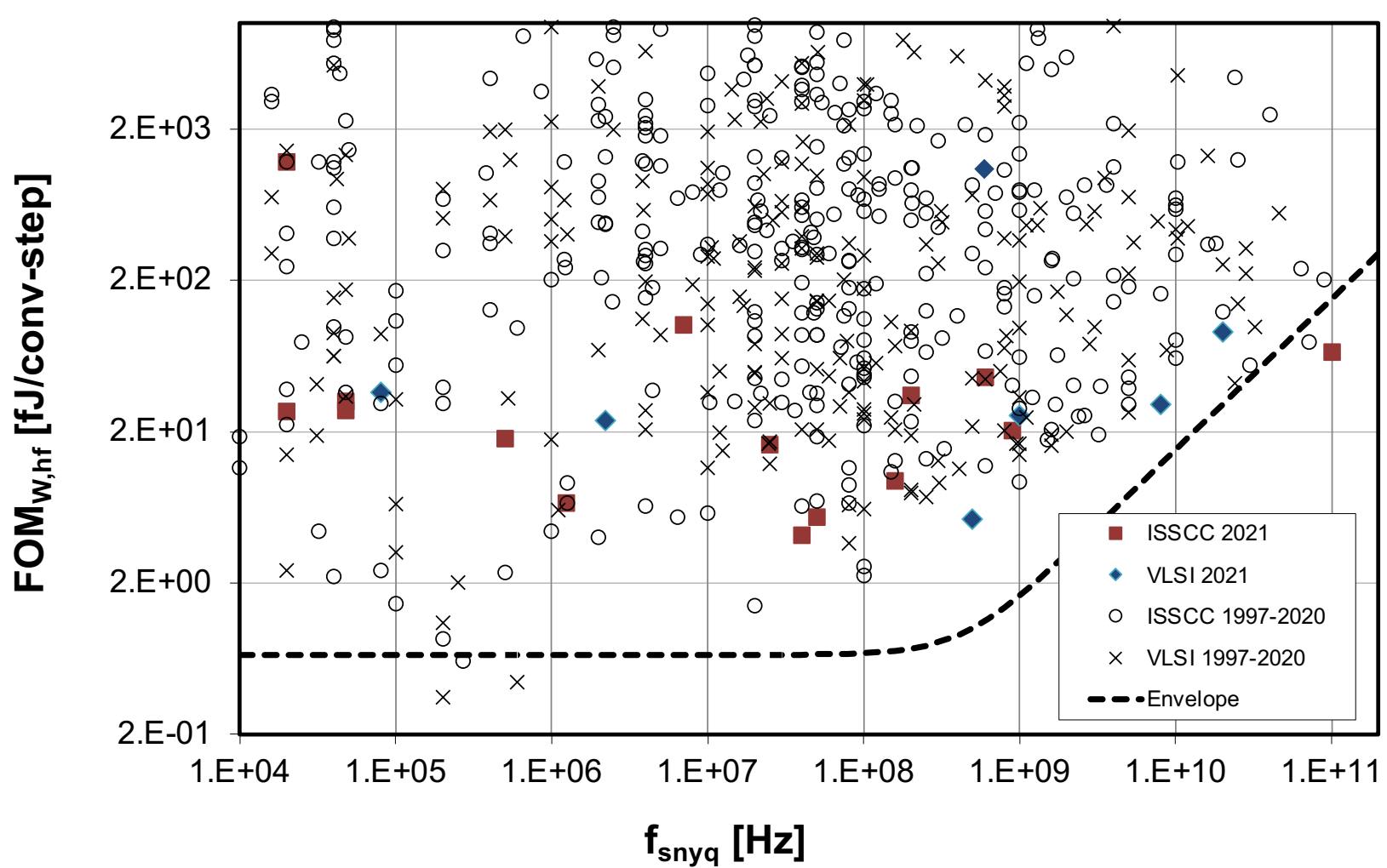


Fig. 7. Signal-to-noise ratio according to  $\text{SNR-bits} = (\text{SNR(dB)} - 1.76)/6.02$ . Three sets of curves show performance limiters due to thermal noise, aperture uncertainty, and comparator ambiguity. The Heisenberg limit is also displayed.

## B. Murmann, ADC Performance Survey 1997-2021 (ISSCC & VLSI Symposium)



$$FOM_W = \frac{P}{2^B f_s}$$

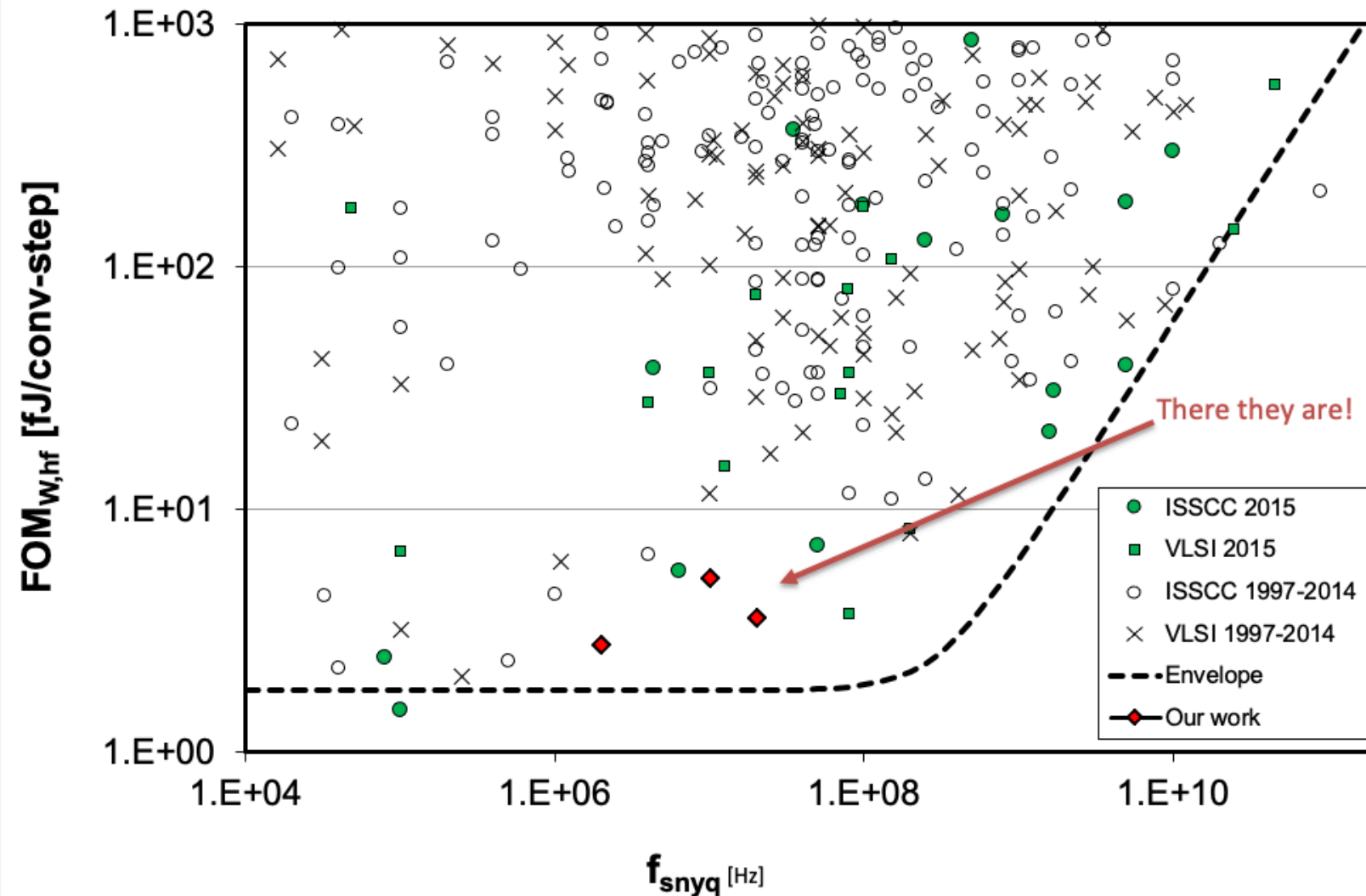
Below 10 fJ/conv.step is good

Below 1 fJ/conv.step is extreme

People from NTNU have made some of the worlds best ADCs

A Compiled 9-bit 20-MS/s 3.5-fJ/conv.step SAR ADC in 28-nm FDSOI for Bluetooth Low Energy Receivers

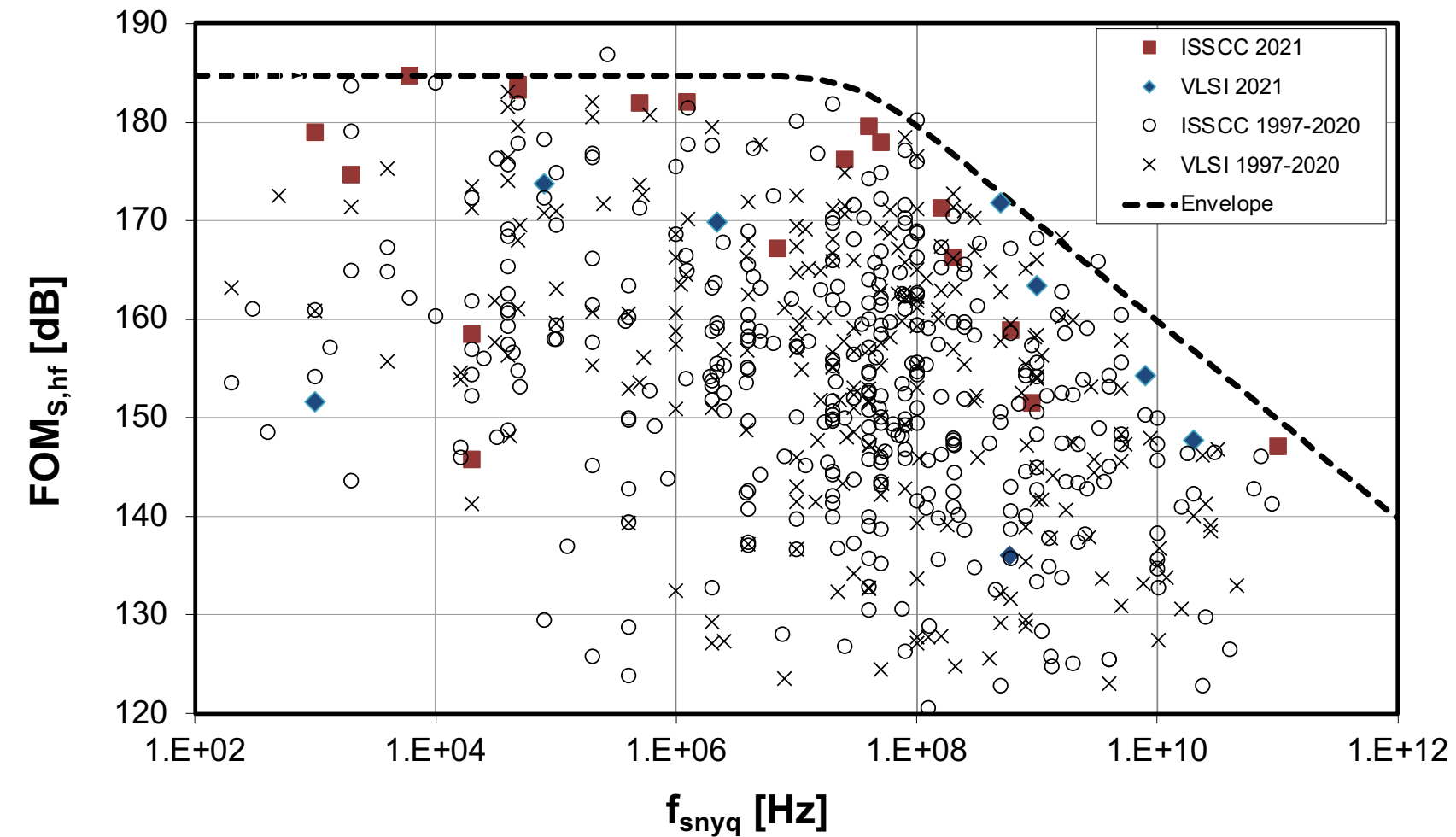
A 68 dB SNDR Compiled Noise-Shaping SAR ADC With On-Chip CDAC Calibration



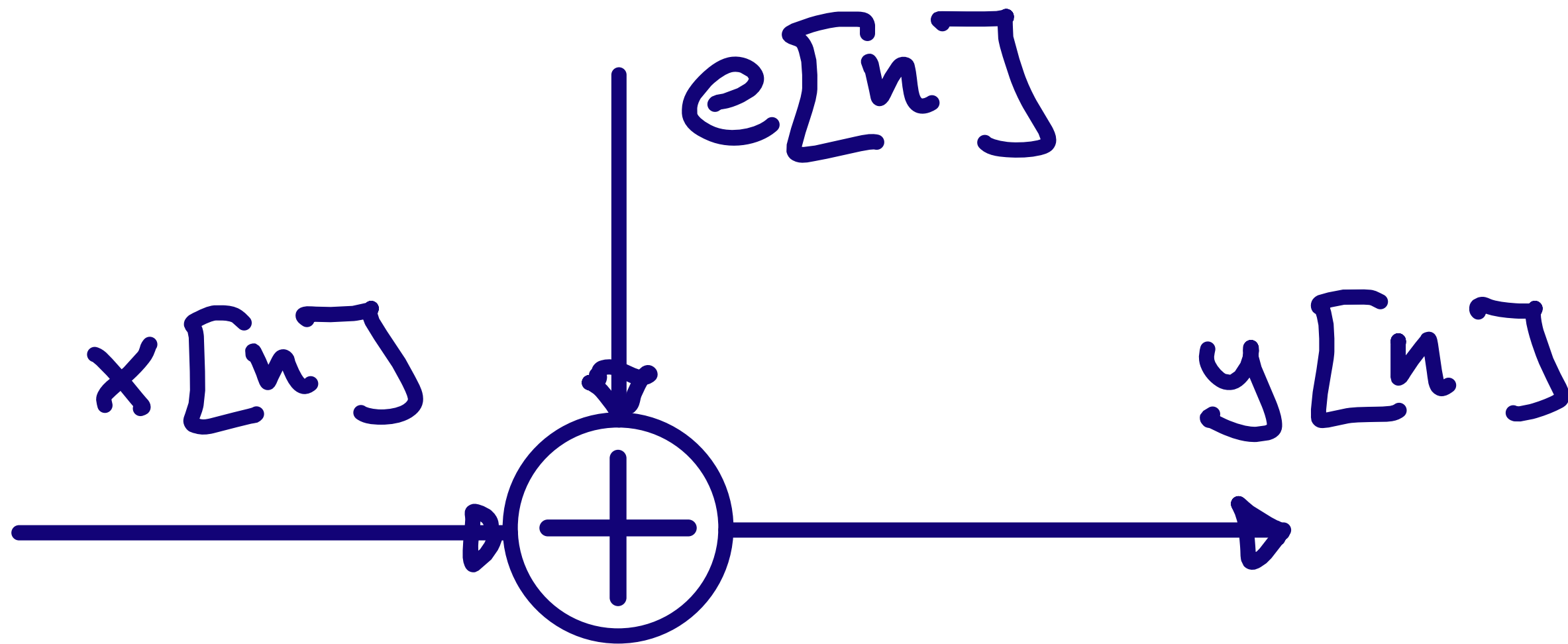
## B. Murmann, ADC Performance Survey 1997-2021 (ISSCC & VLSI Symposium)

$$FOM_S = SNDR + 10 \log \left( \frac{f_s/2}{P} \right)$$

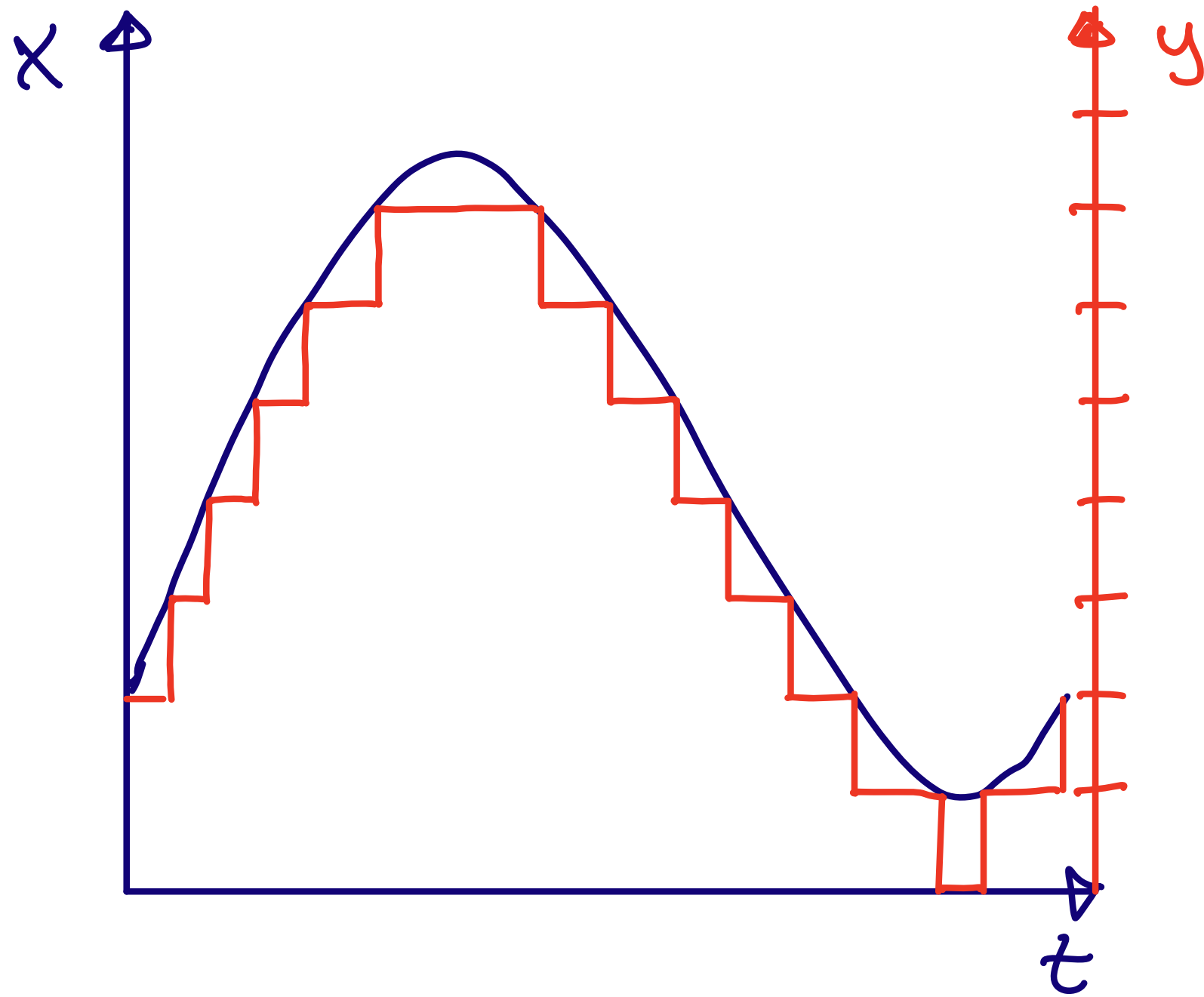
Above 180 dB is extreme

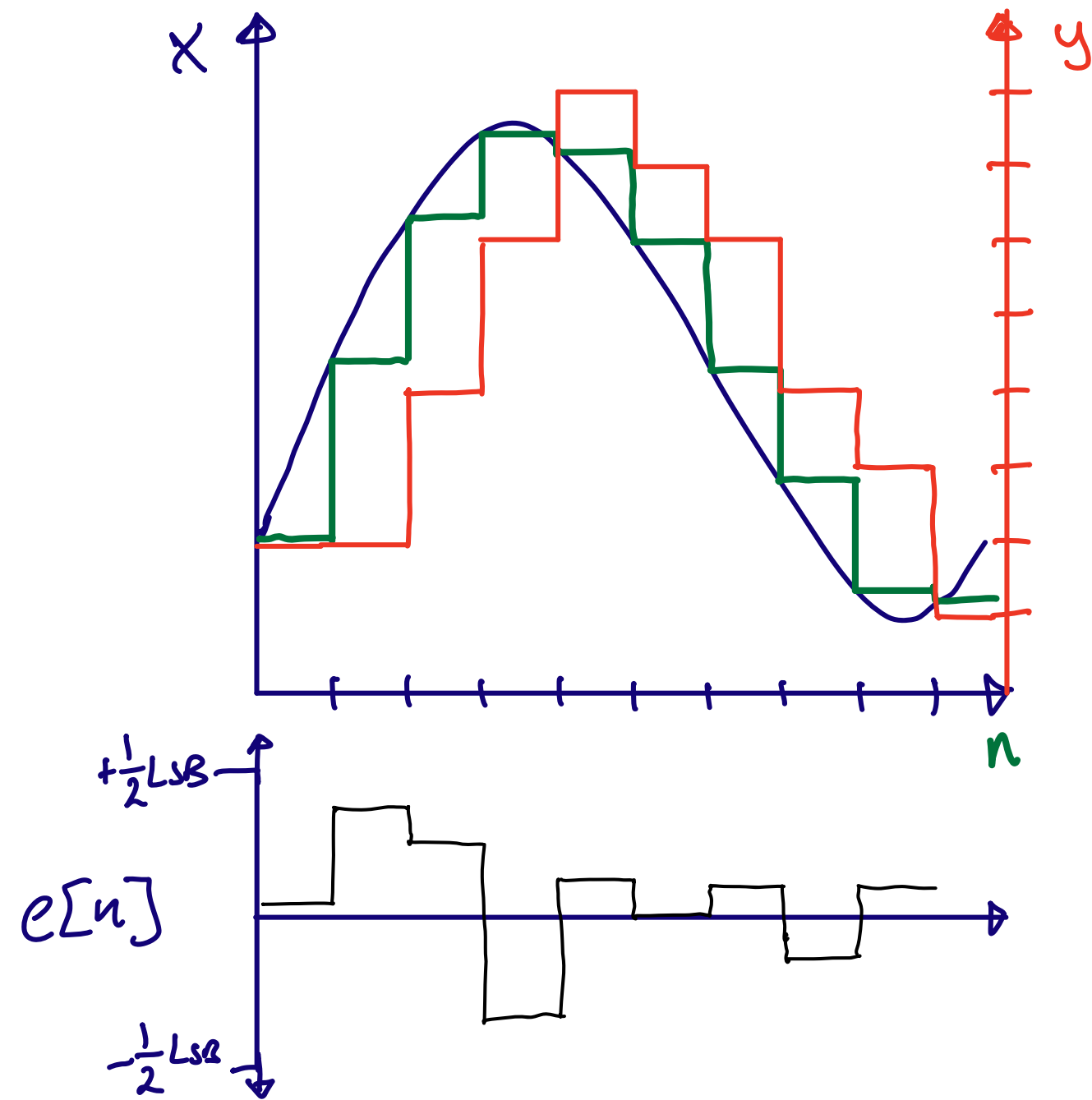


# Quantization









$$e_n(t) = \sum_{p=1}^{\infty} A_p \sin p\omega t$$

where  $p$  is the harmonic index, and

$$A_p = \begin{cases} \delta_{p1} A + \sum_{m=1}^{\infty} \frac{2}{m\pi} J_p(2m\pi A) & , p = \text{odd} \\ 0 & , p = \text{even} \end{cases}$$

$$\delta_{p1} = \begin{cases} 1 & , p = 1 \\ 0 & , p \neq 1 \end{cases}$$

and  $J_p(x)$  is a Bessel function of the first kind,  $A$  is the amplitude of the input signal.

If we approximate the amplitude of the input signal as

$$A = \frac{2^n - 1}{2} \approx 2^{n-1}$$

where  $n$  is the number of bits, we can rewrite as ....

See [The intermodulation and distortion due to quantization of sinusoids](#)

$$e_n(t) = \sum_{p=1}^{\infty} A_p \sin p\omega t$$

$$A_p = \delta_{p1} 2^{n-1} + \sum_{m=1}^{\infty} \frac{2}{m\pi} J_p(2m\pi 2^{n-1}), p = \text{odd}$$

$$\overline{e_n(t)} = 0$$

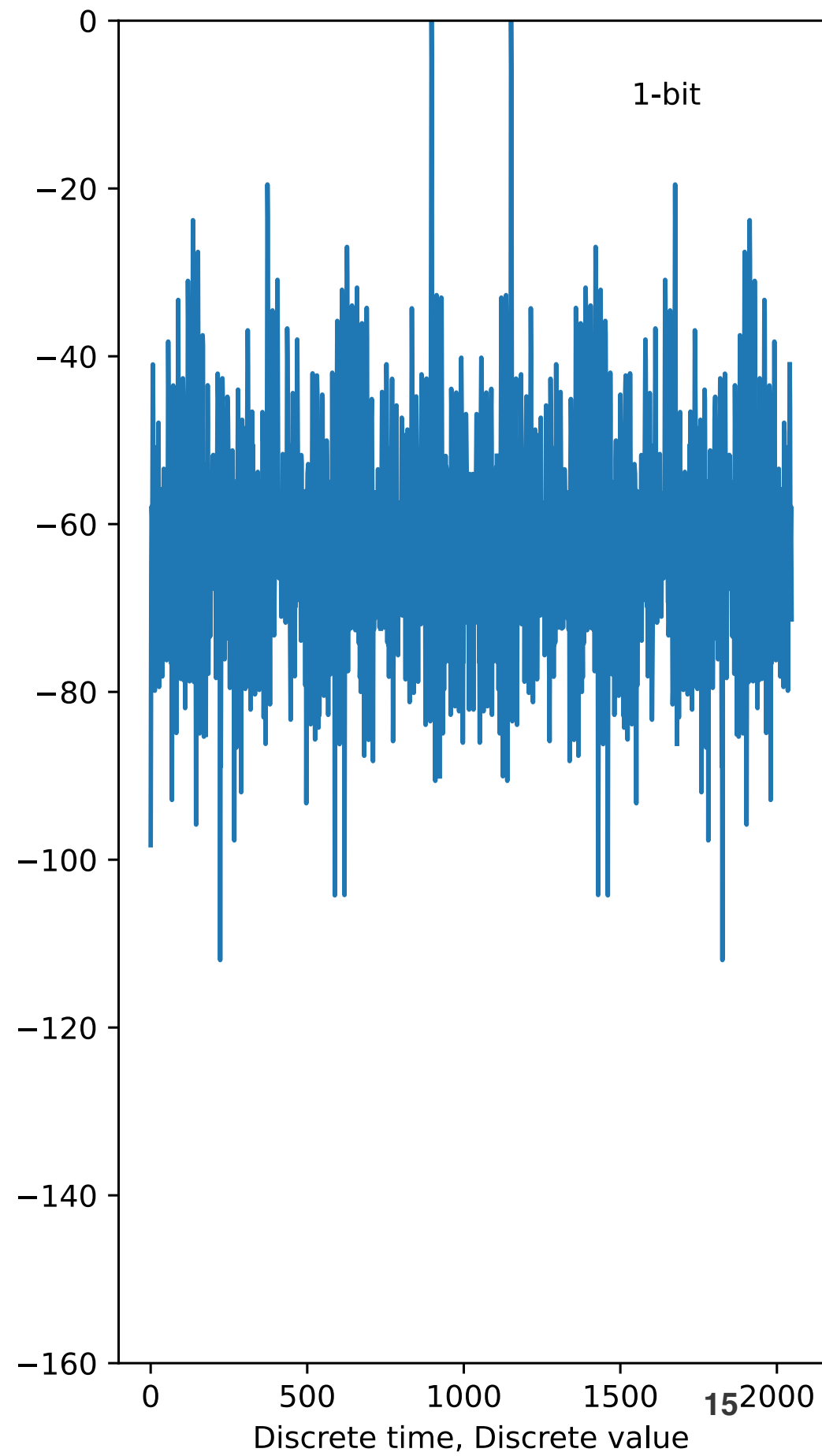
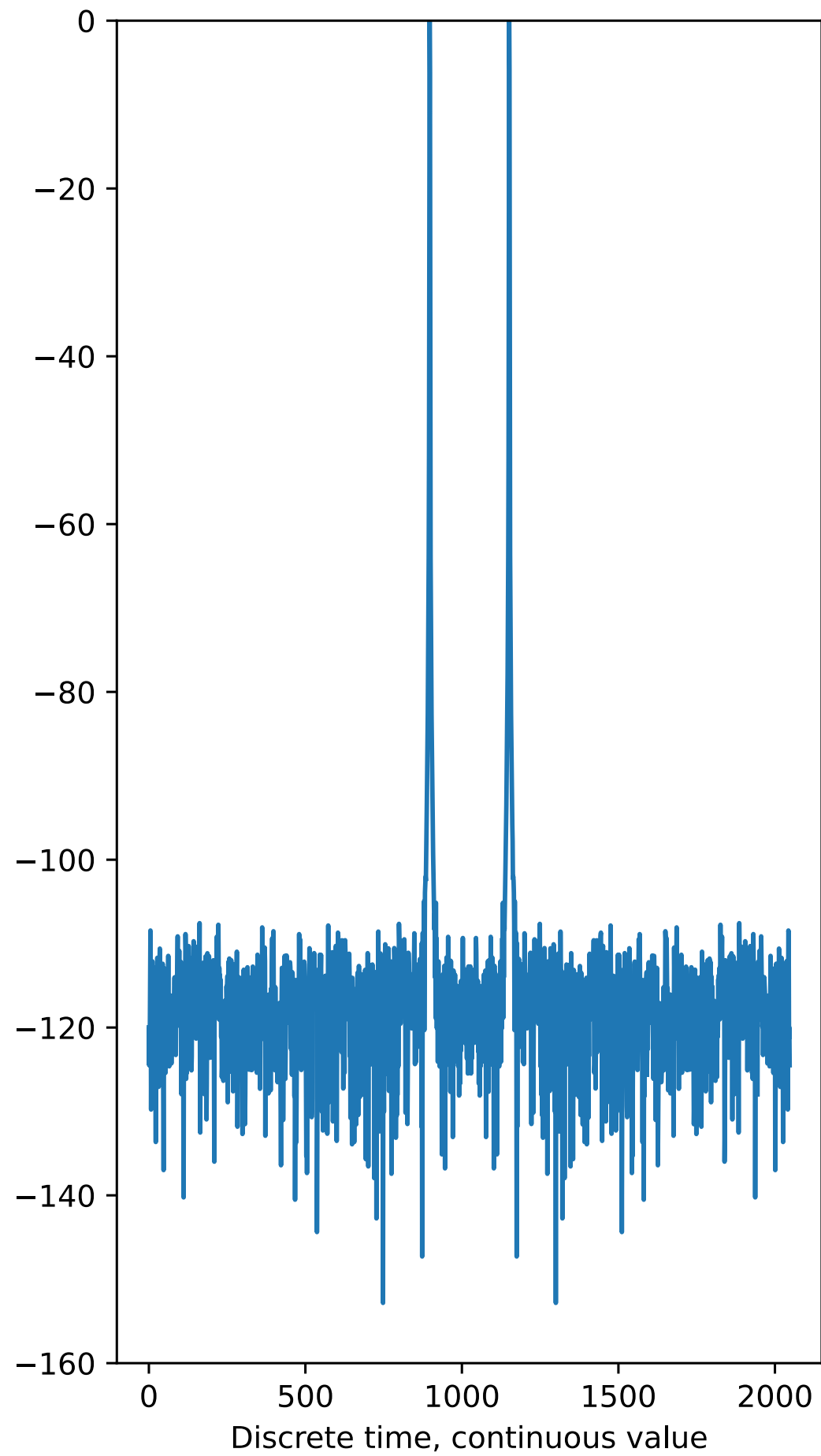
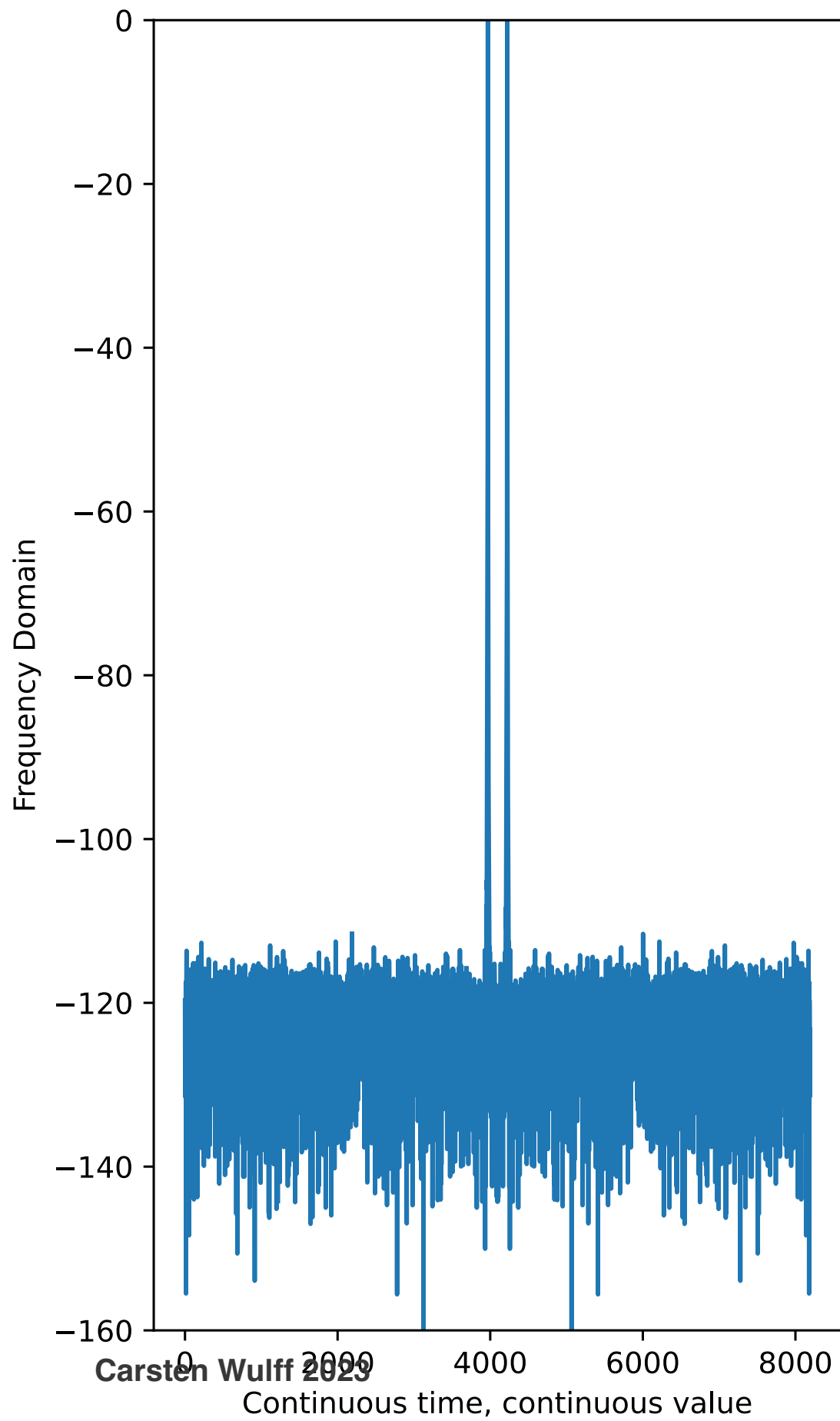
$$\overline{e_n(t)^2} = \frac{\Delta^2}{12}$$

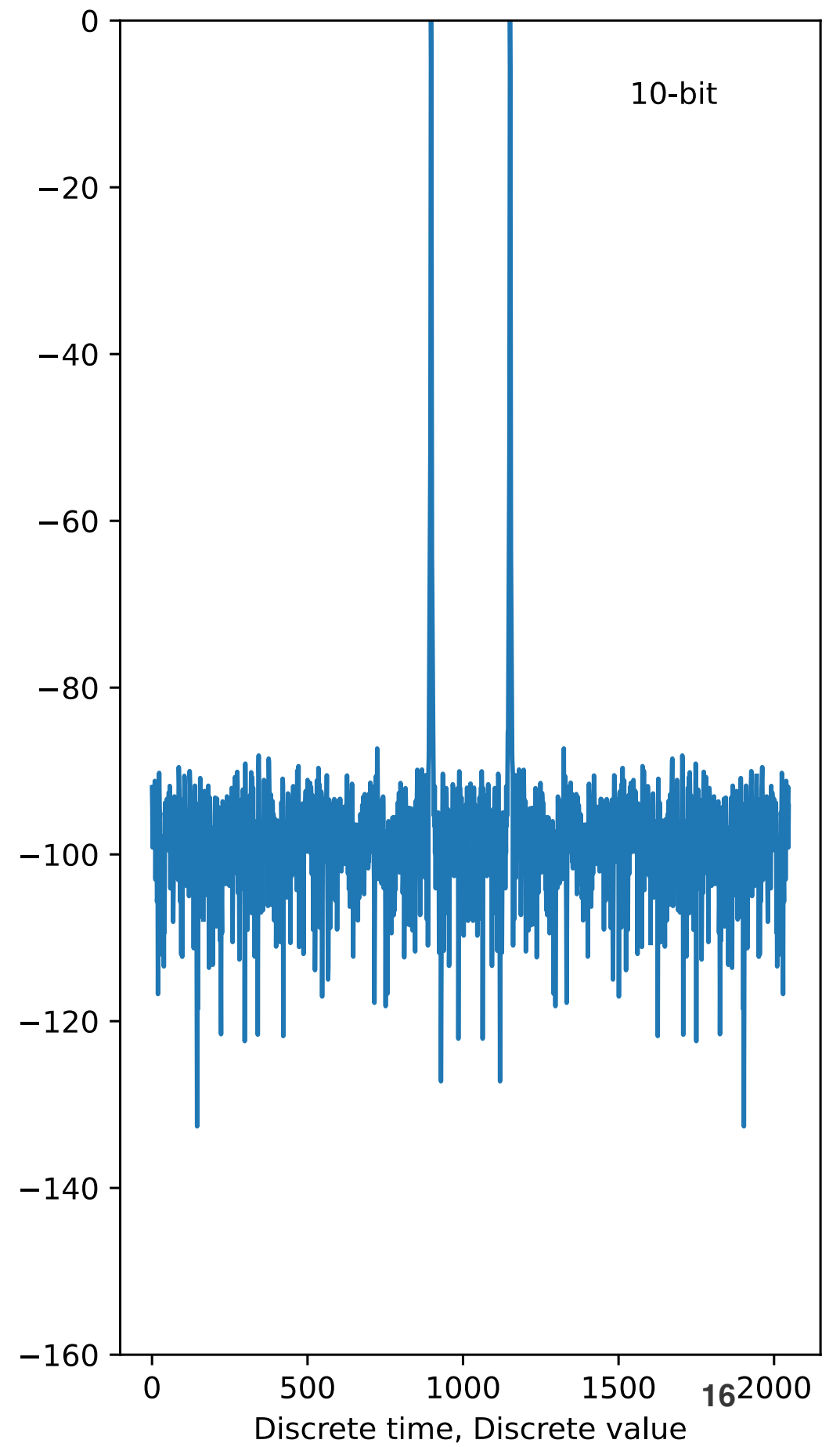
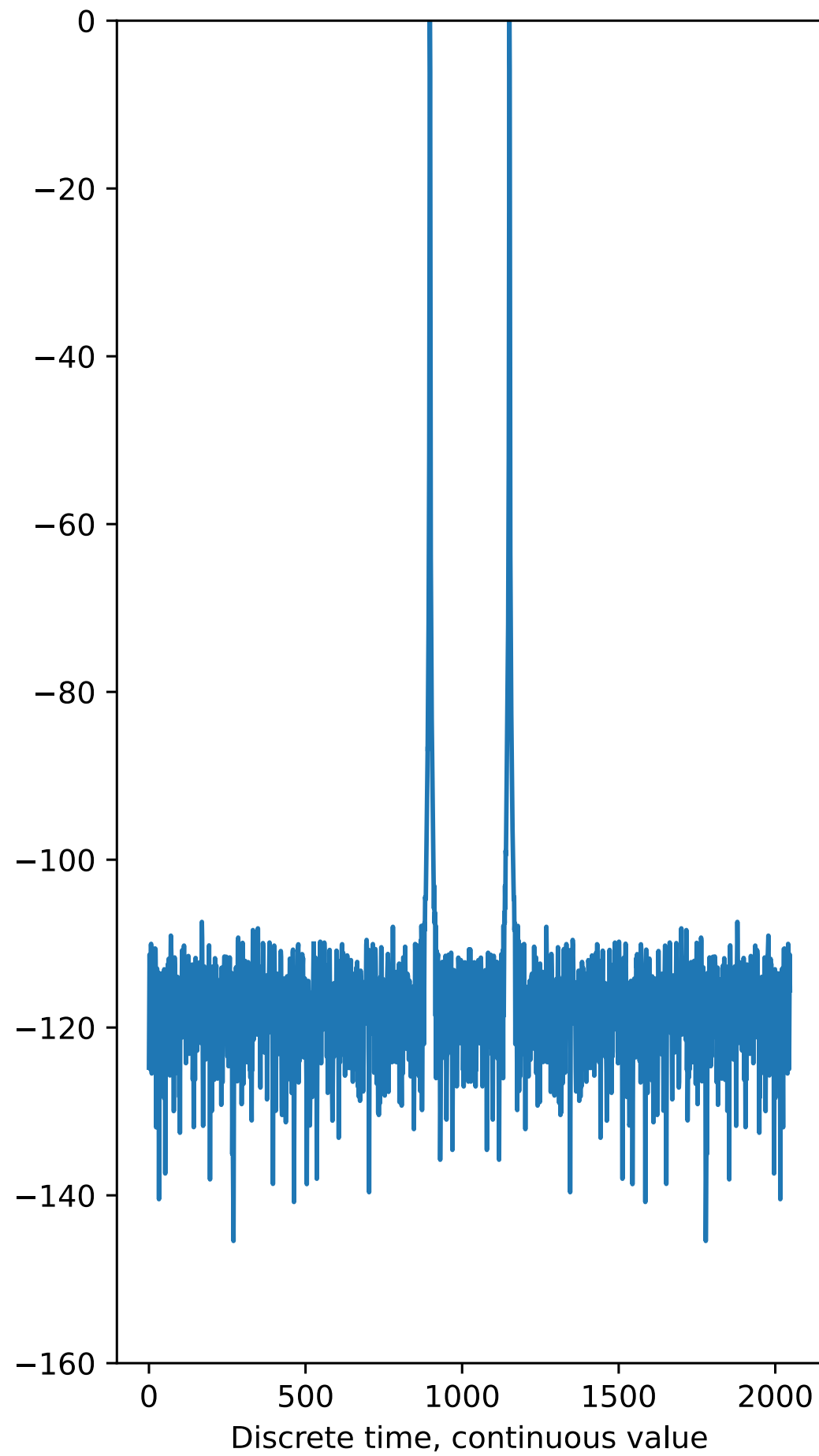
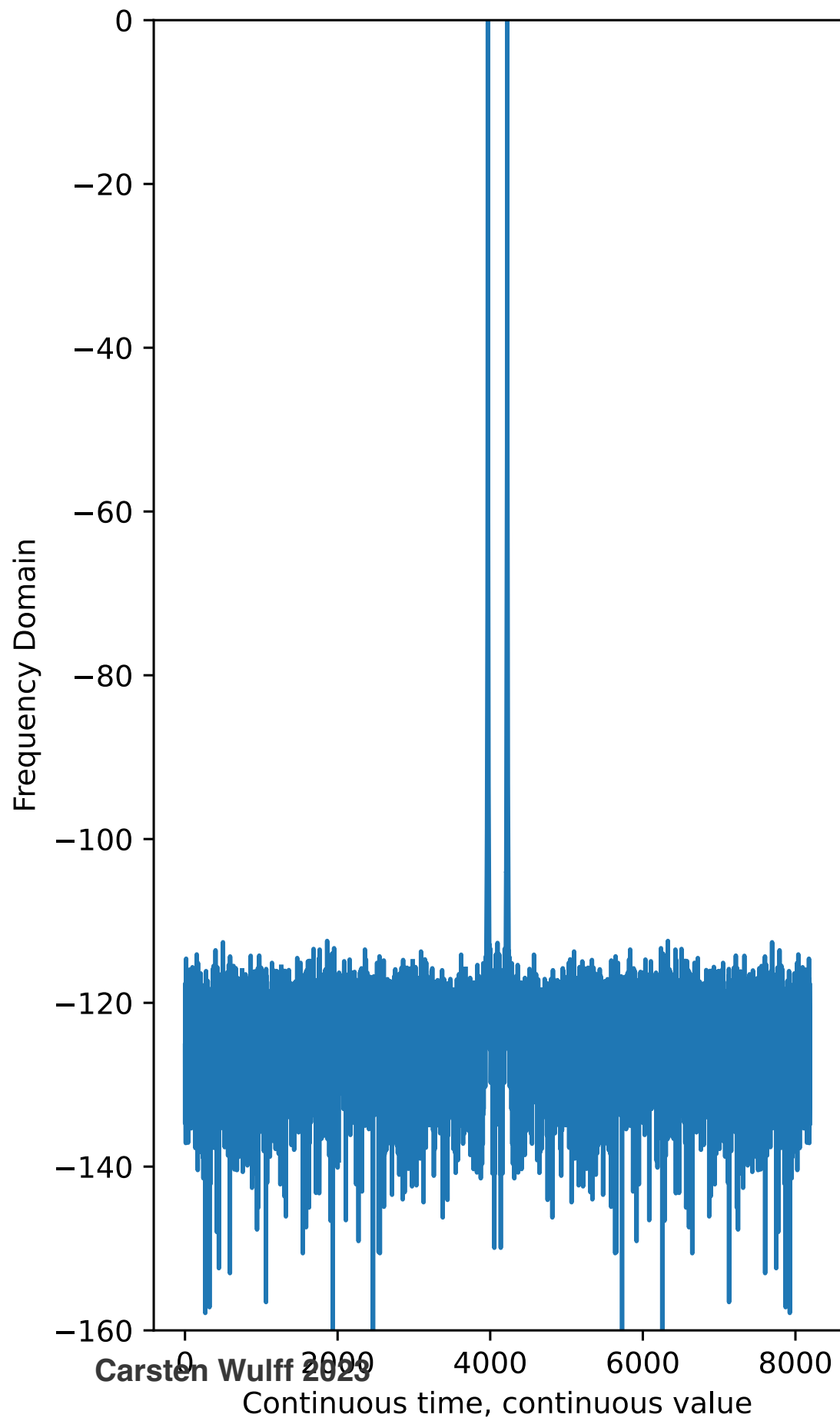
$$SQNR = 10 \log \left( \frac{A^2/2}{\Delta^2/12} \right) = 10 \log \left( \frac{6A^2}{\Delta^2} \right)$$

$$\Delta = \frac{2A}{2^B}$$

$$SQNR = 10 \log \left( \frac{6A^2}{4A^2/2^B} \right) = 20B \log 2 + 10 \log 6/4$$

$$SQNR \approx 6.02B + 1.76$$







# Overampling

In-band quantization noise at an oversampling ratio (OSR)

$$\overline{e_n(t)^2} = \frac{\Delta^2}{12OSR}$$

$$SQNR = 10 \log \left( \frac{6A^2}{\Delta^2/OSR} \right) = 10 \log \left( \frac{6A^2}{\Delta^2} \right) + 10 \log(OSR)$$

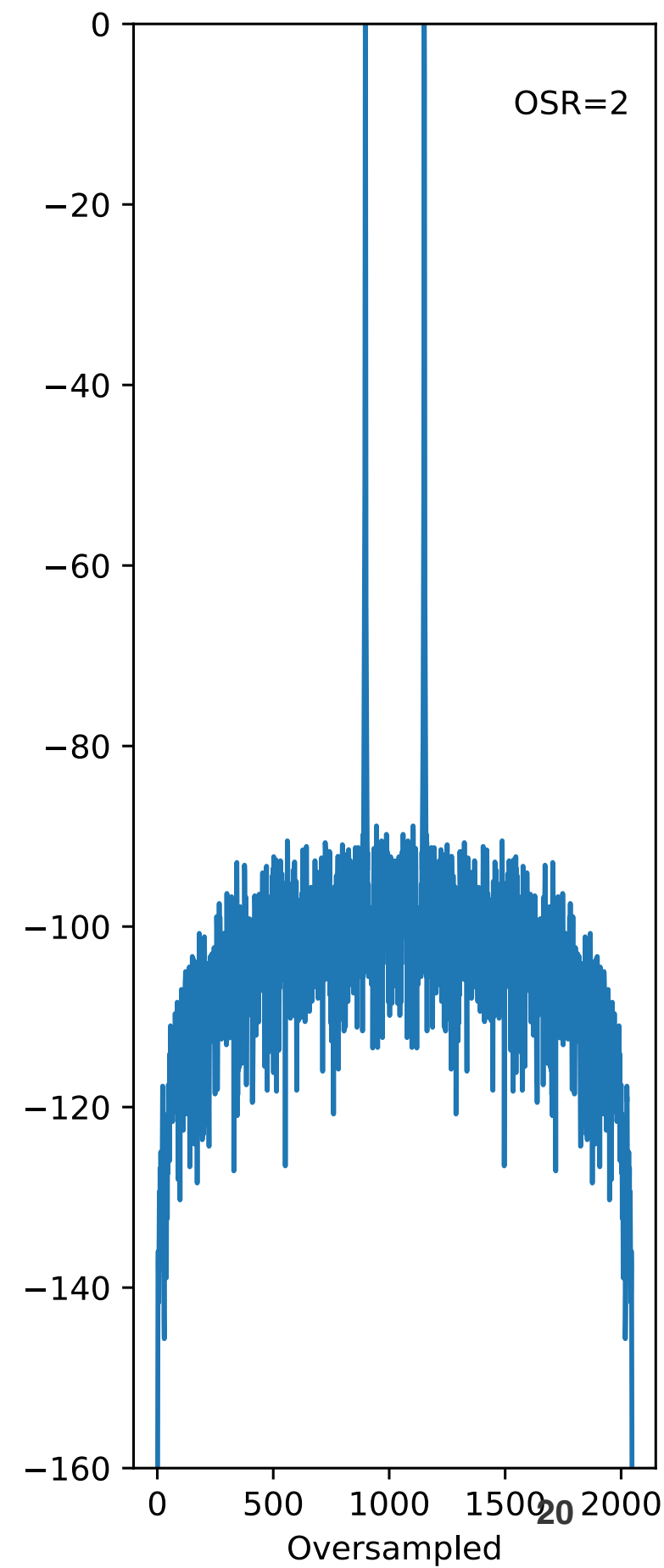
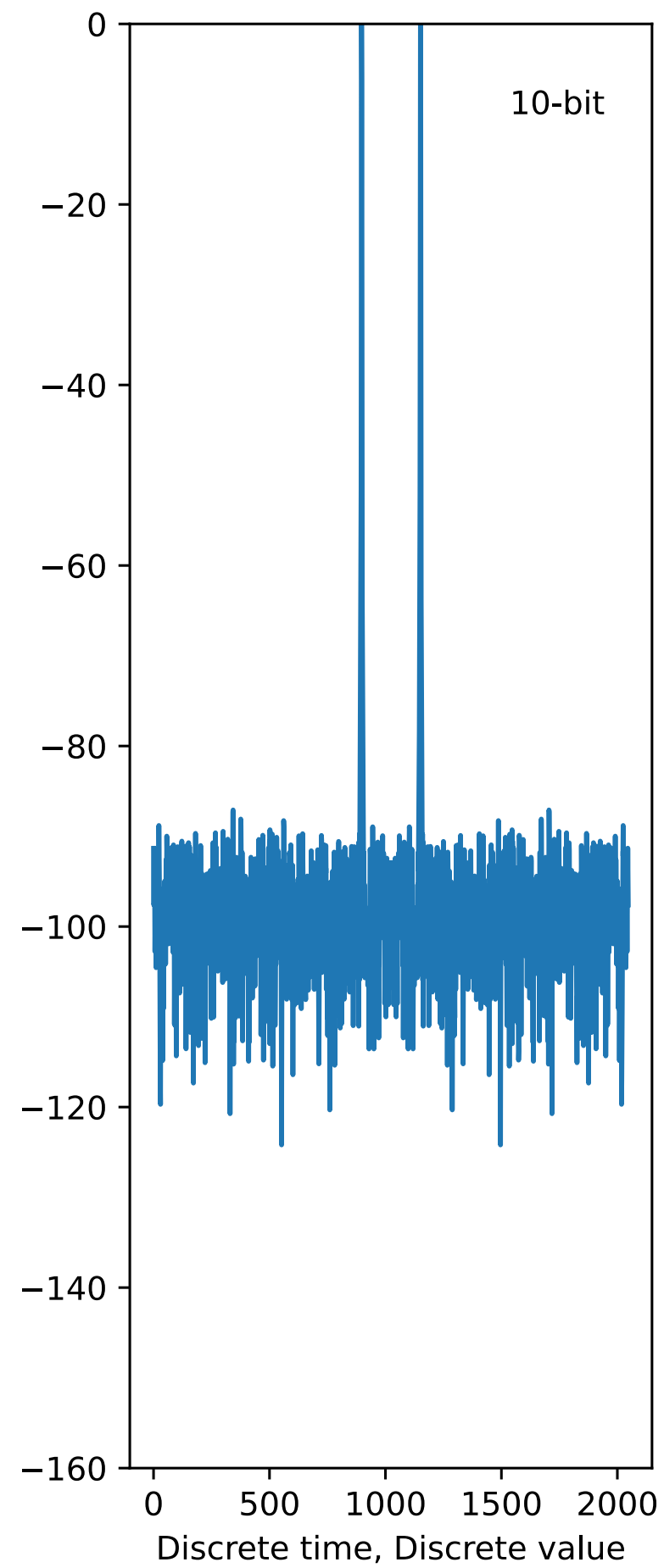
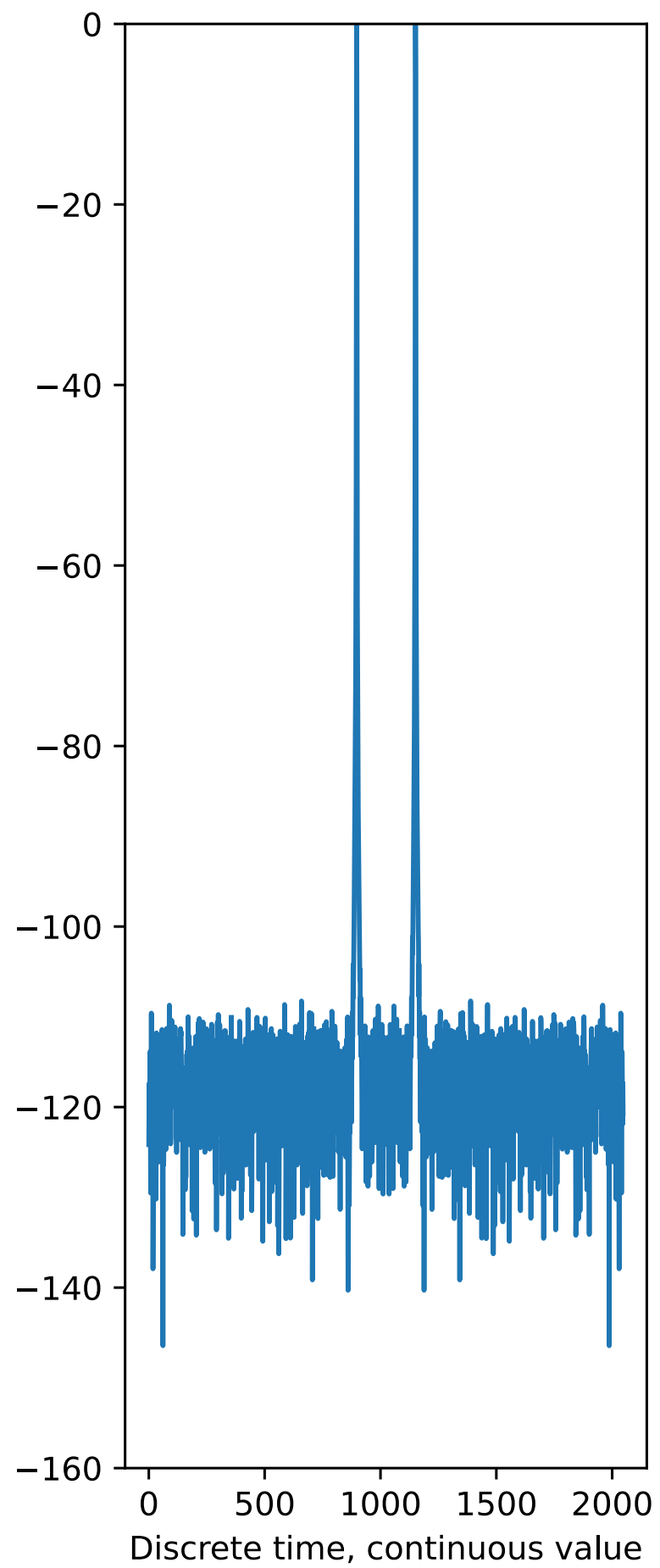
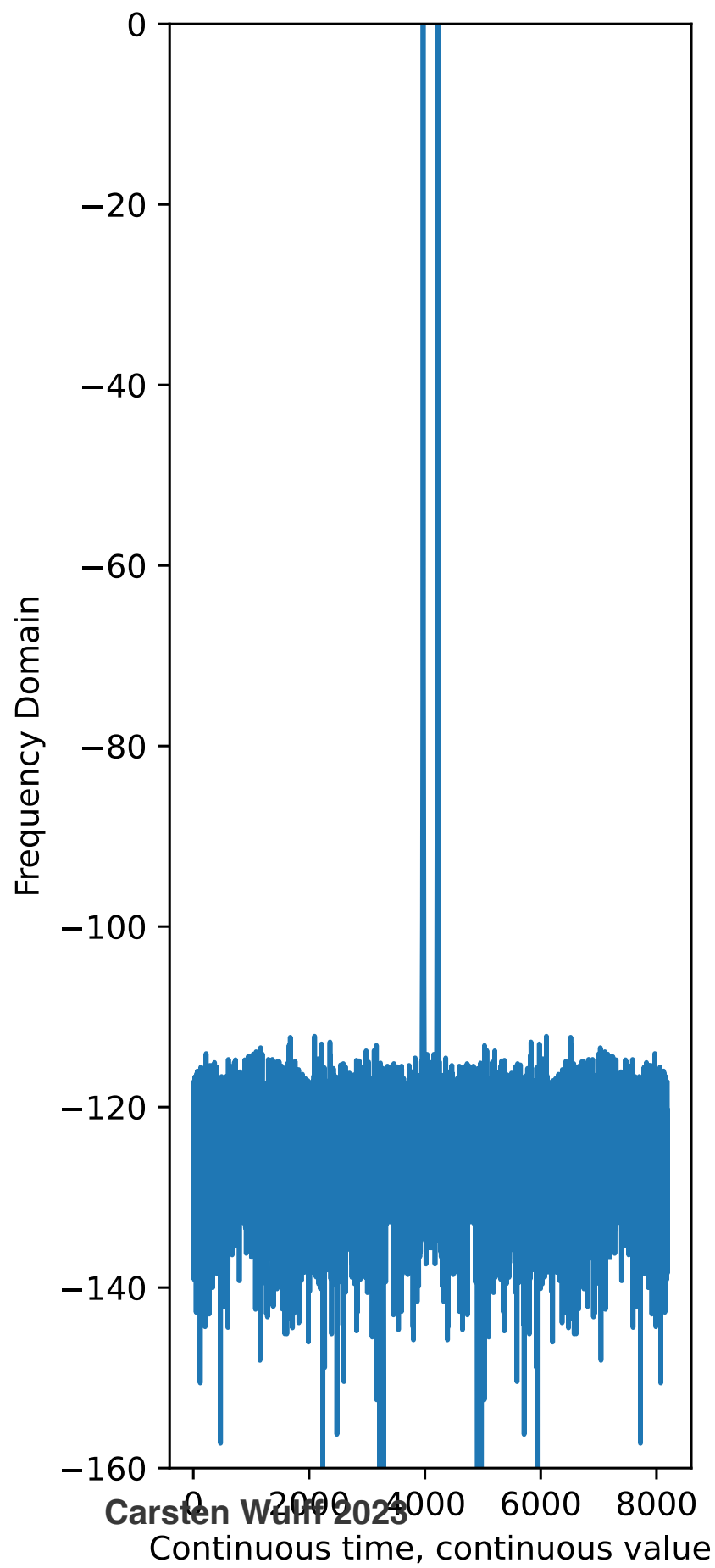
$$SQNR \approx 6.02B + 1.76 + 10 \log(OSR)$$

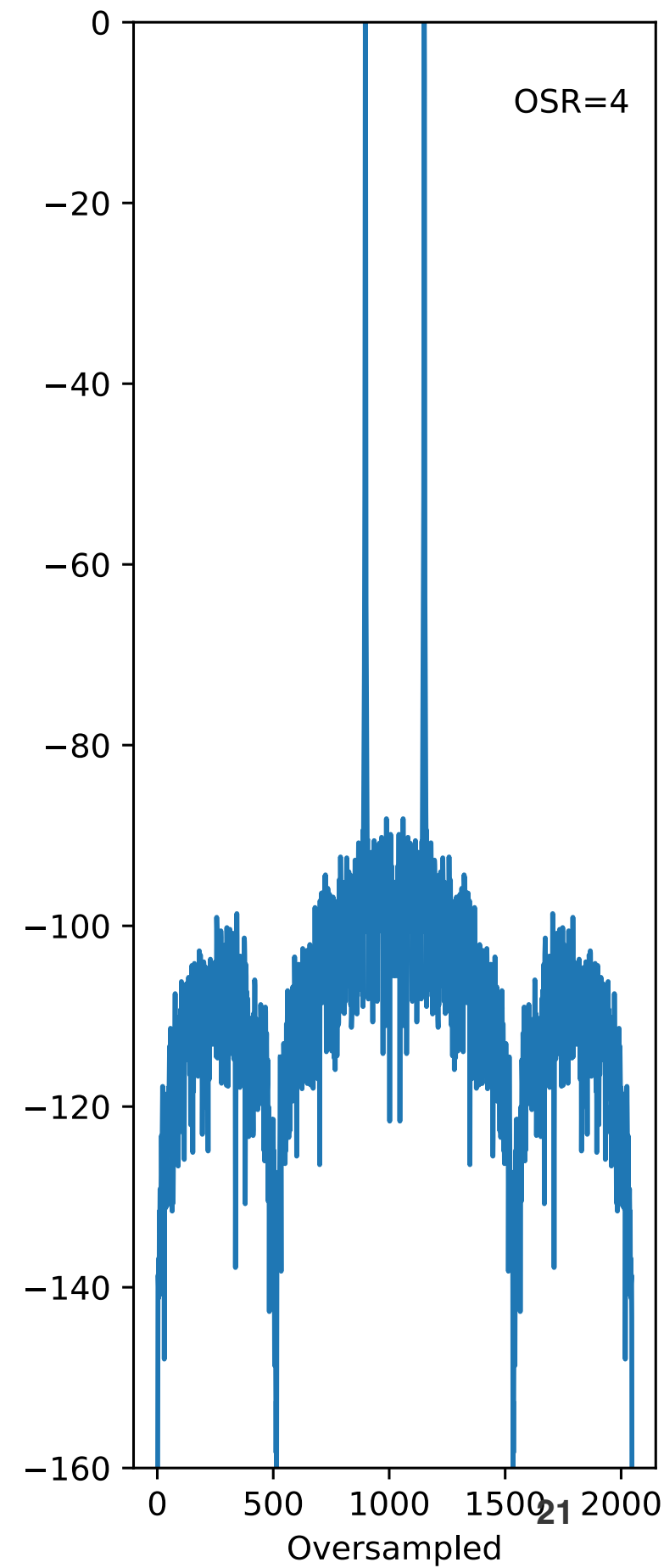
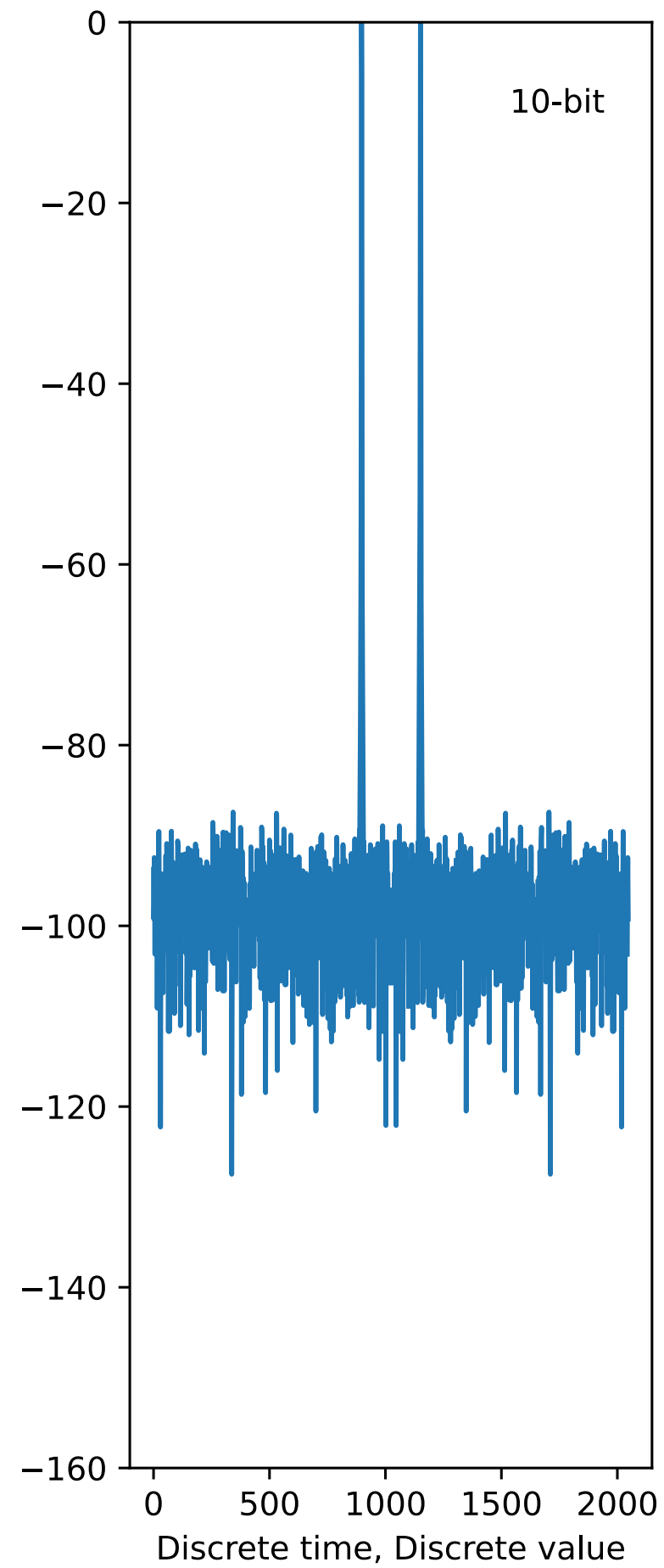
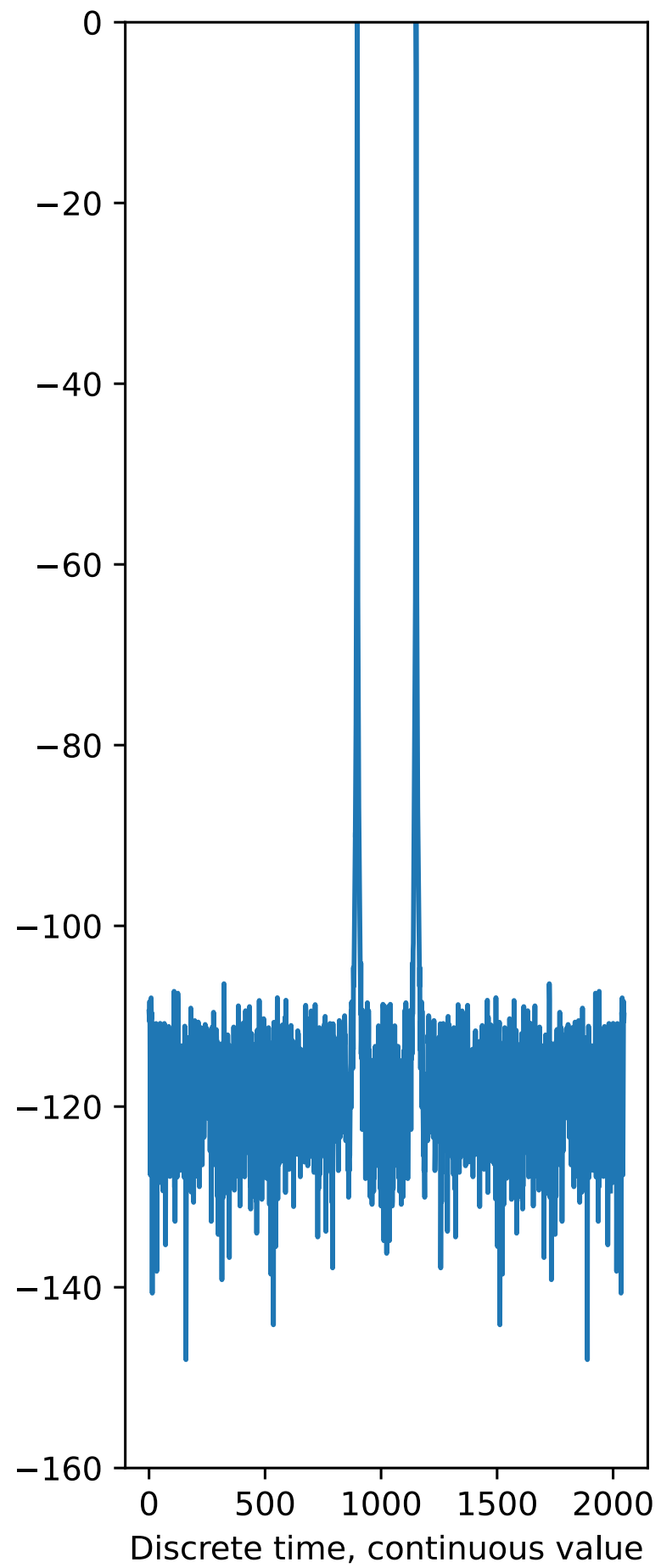
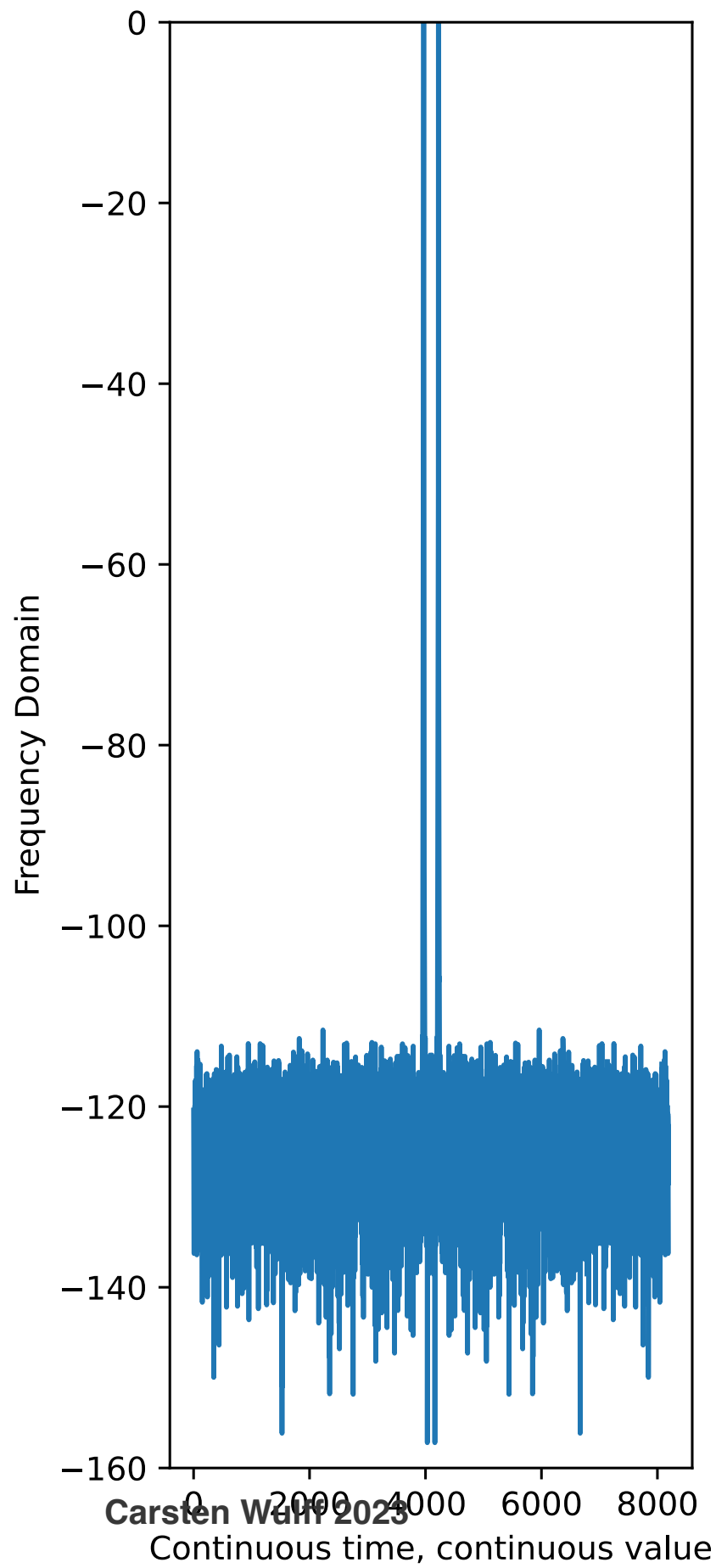
$$10 \log(2) \approx 3dB$$

$$10 \log(4) \approx 6dB$$

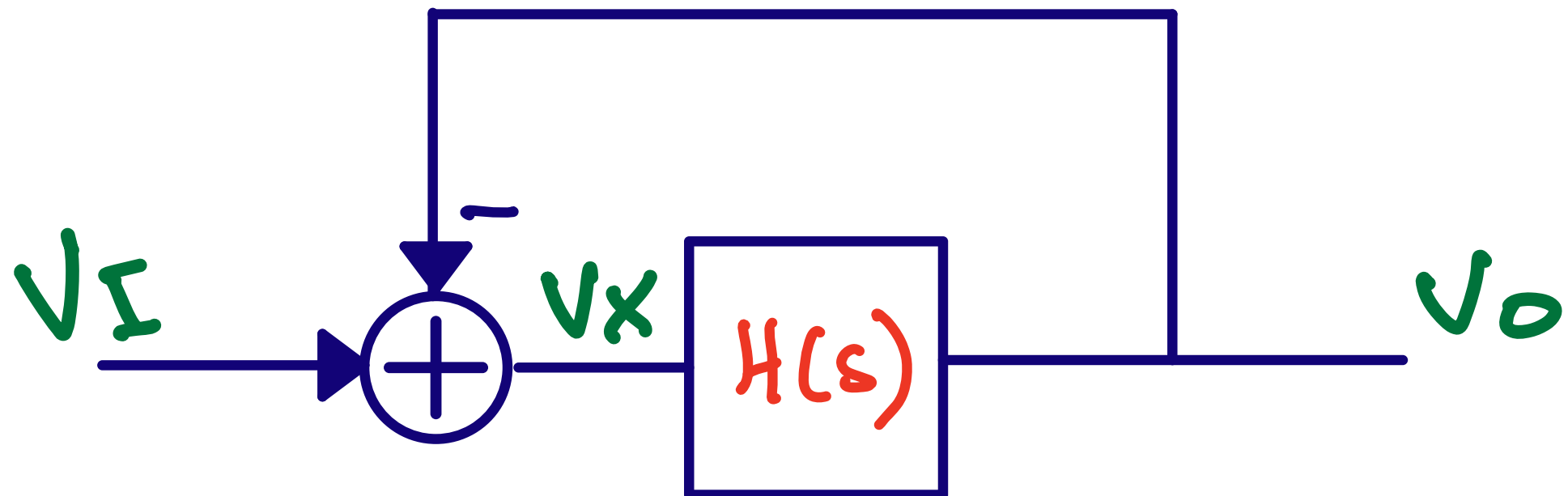
0.5-bit per doubling of OSR

```
def oversample(x, OSR):  
    N = len(x)  
    y = np.zeros(N)  
  
    for n in range(0, N):  
        for k in range(0, OSR):  
            m = n+k  
            if (m < N):  
                y[n] += x[m]  
  
    return y
```



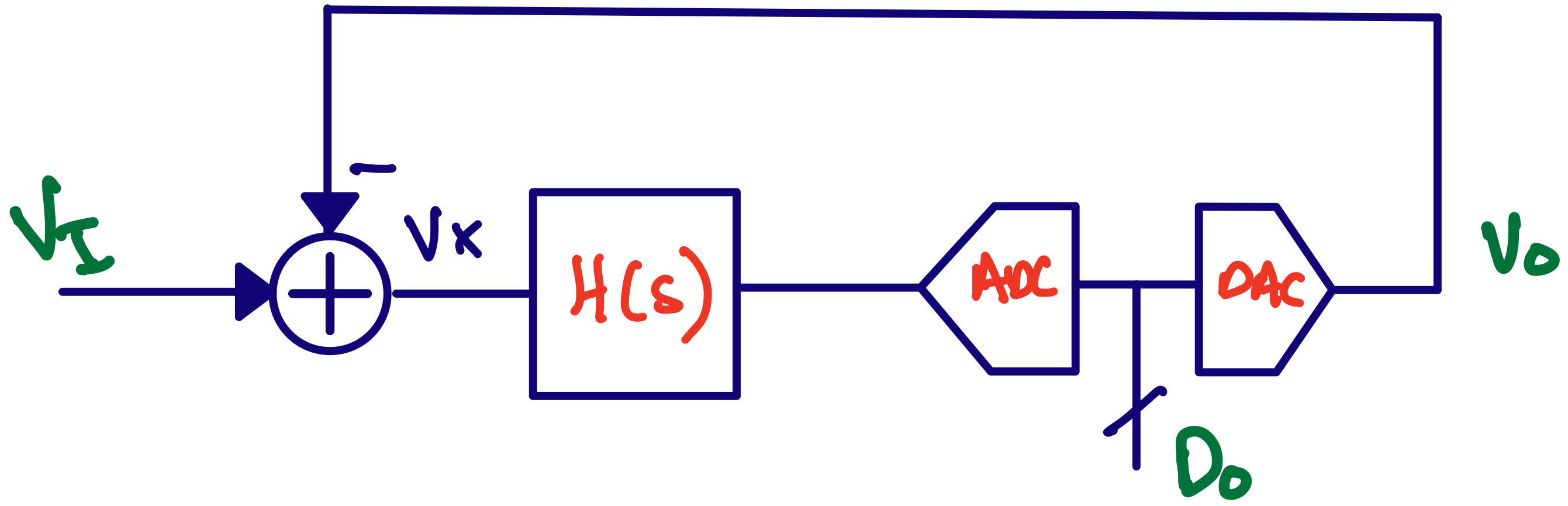


# Noise Shaping



$$V_I - V_O = V_X \quad V_O = V_X H(s)$$

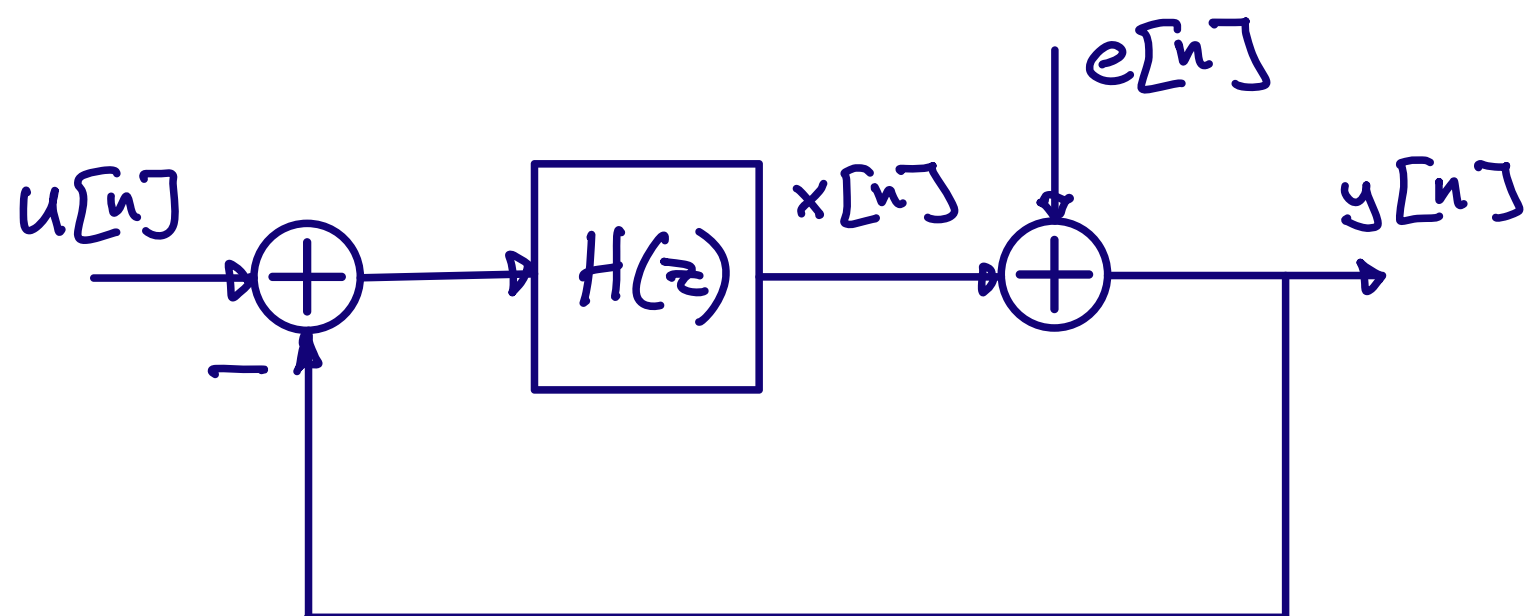
$$V_I = V_O + \frac{V_O}{H(s)} \quad \Rightarrow \quad H(s) = \infty \quad V_O = V_I$$





## Sample domain

$$y[n] = e[n] + h * (u[n] - y[n])$$



## Z-Domain

$$Y(z) = E(z) + H(z) [U(z) - Y(z)]$$

## Signal transfer function

Assume  $U$  and  $E$  are uncorrelated, and  $E$  is zero

$$Y = HU - HY$$

$$STF = \frac{Y}{U} = \frac{H}{1 + H} = \frac{1}{1 + \frac{1}{H}}$$

## Noise transfer function

Assume  $U$  is zero

$$Y = E + HY \rightarrow NTF = \frac{1}{1 + H}$$

# Combined transfer function

$$Y(z) = STF(z)U(z) + NTF(z)E(z)$$

# First-Order Noise-Shaping

$$H(z) = \frac{1}{z-1}$$

$$STF = \frac{1/(z-1)}{1+1/(z-1)} = \frac{1}{z} = z^{-1}$$

$$NFT = \frac{1}{1+1/(z-1)} = \frac{z-1}{z} = 1 - z^{-1}$$

$$NFT = 1 - z^{-1}$$

$$z = e^{sT} \xrightarrow{s=j\omega} e^{j\omega T} = e^{j2\pi f/f_s}$$

$$NTF(f) = 1 - e^{-j2\pi f/f_s}$$

$$= \frac{e^{j\pi f/f_s} - e^{-j\pi f/f_s}}{2j} \times 2j \times e^{-j\pi f/f_s}$$

$$= \sin \frac{\pi f}{f_s} \times 2j \times e^{-j\pi f/f_s}$$

$$|NFT(f)| = \left| 2 \sin \left( \frac{\pi f}{f_s} \right) \right|$$

$$P_s = A^2 / 2$$
$$P_n = \int_{-f_0}^{f_0} \frac{\Delta^2}{12} \frac{1}{f_s} [2 \sin \pi f / f_s]^2 dt$$

⋮

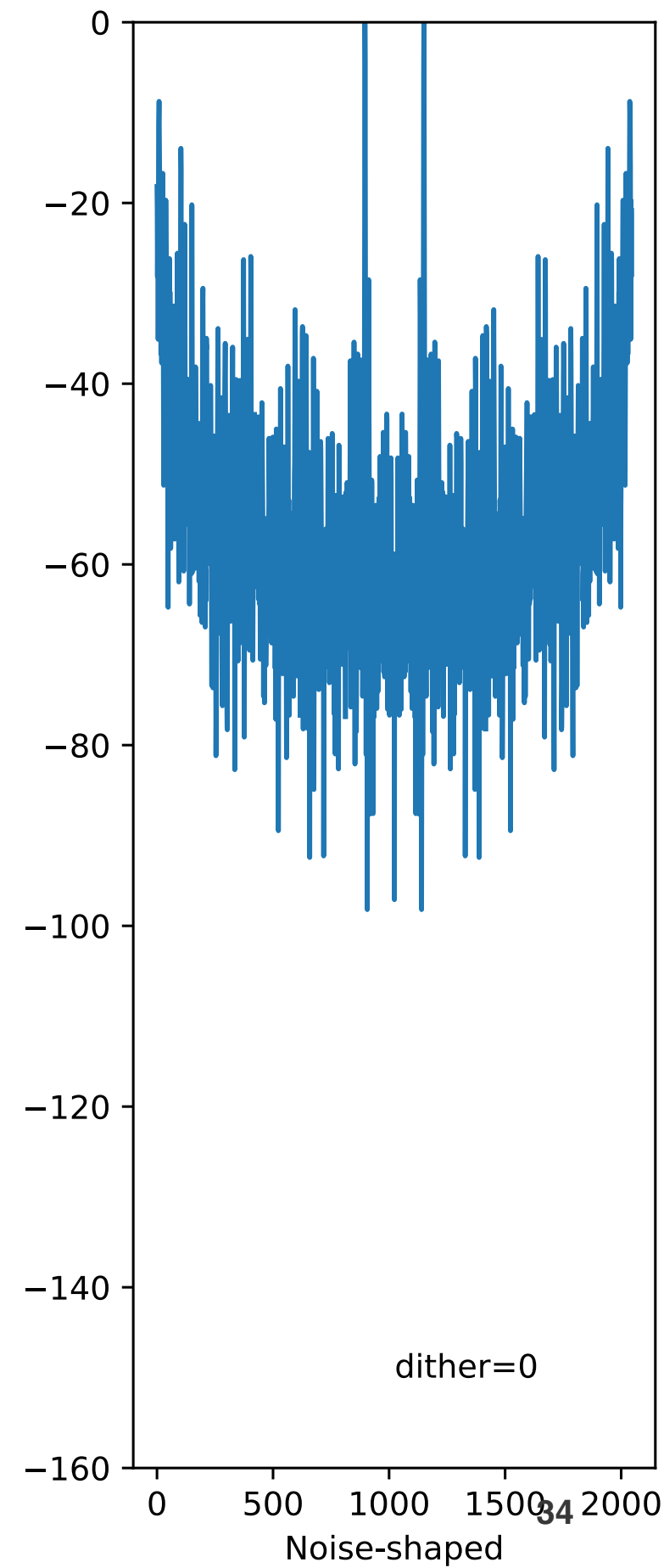
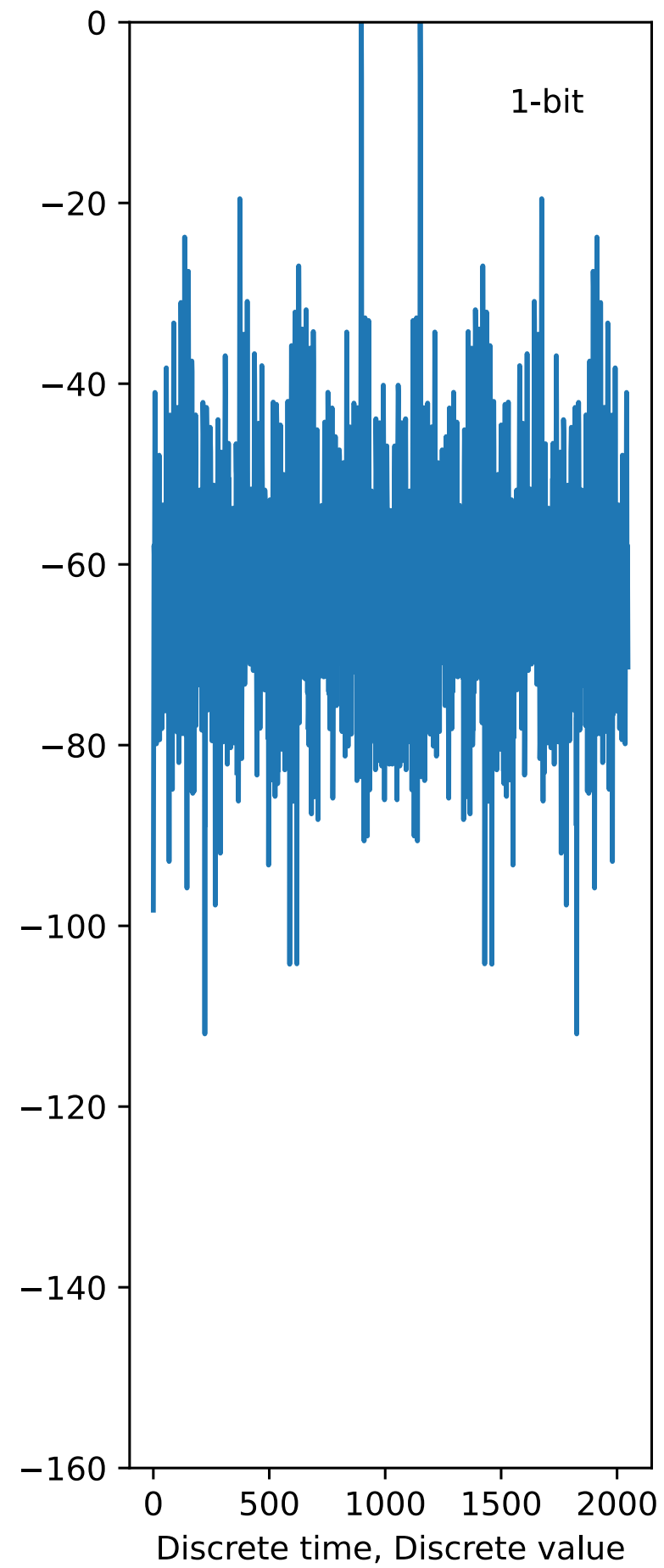
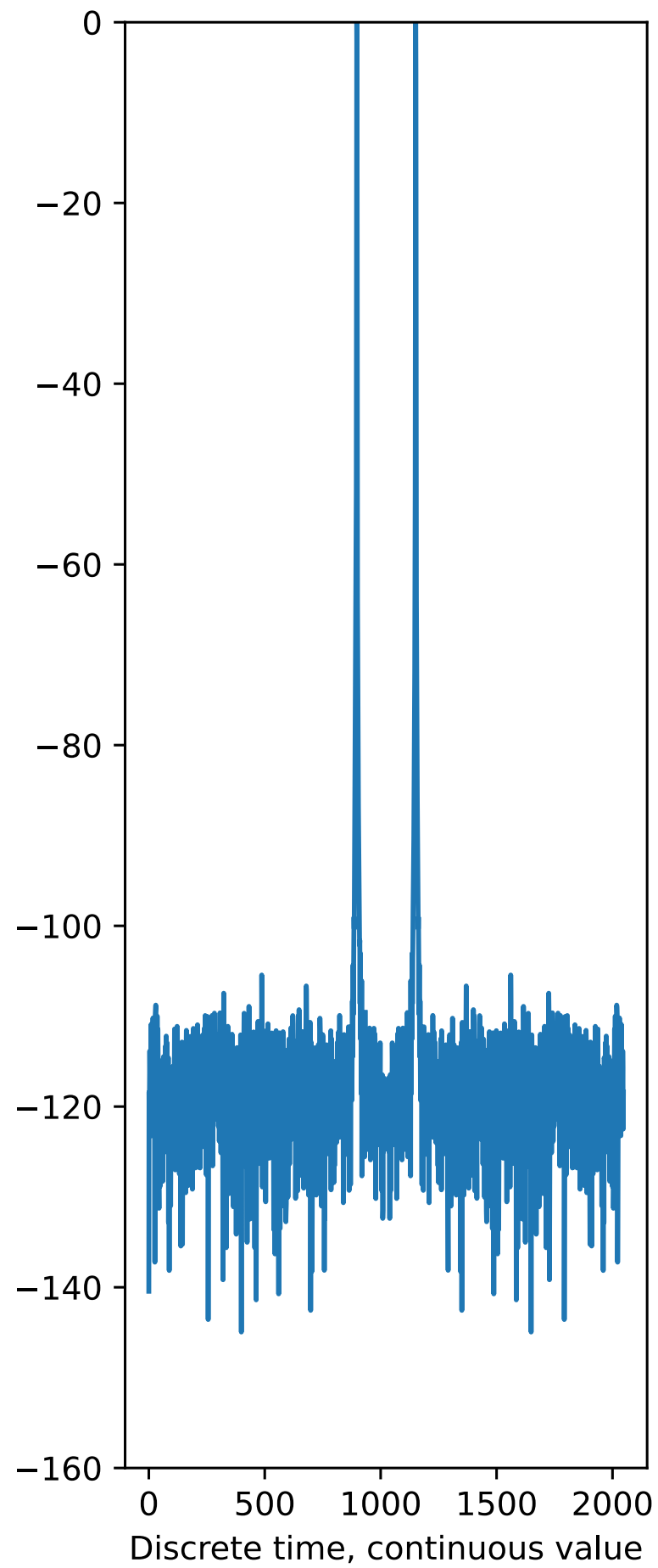
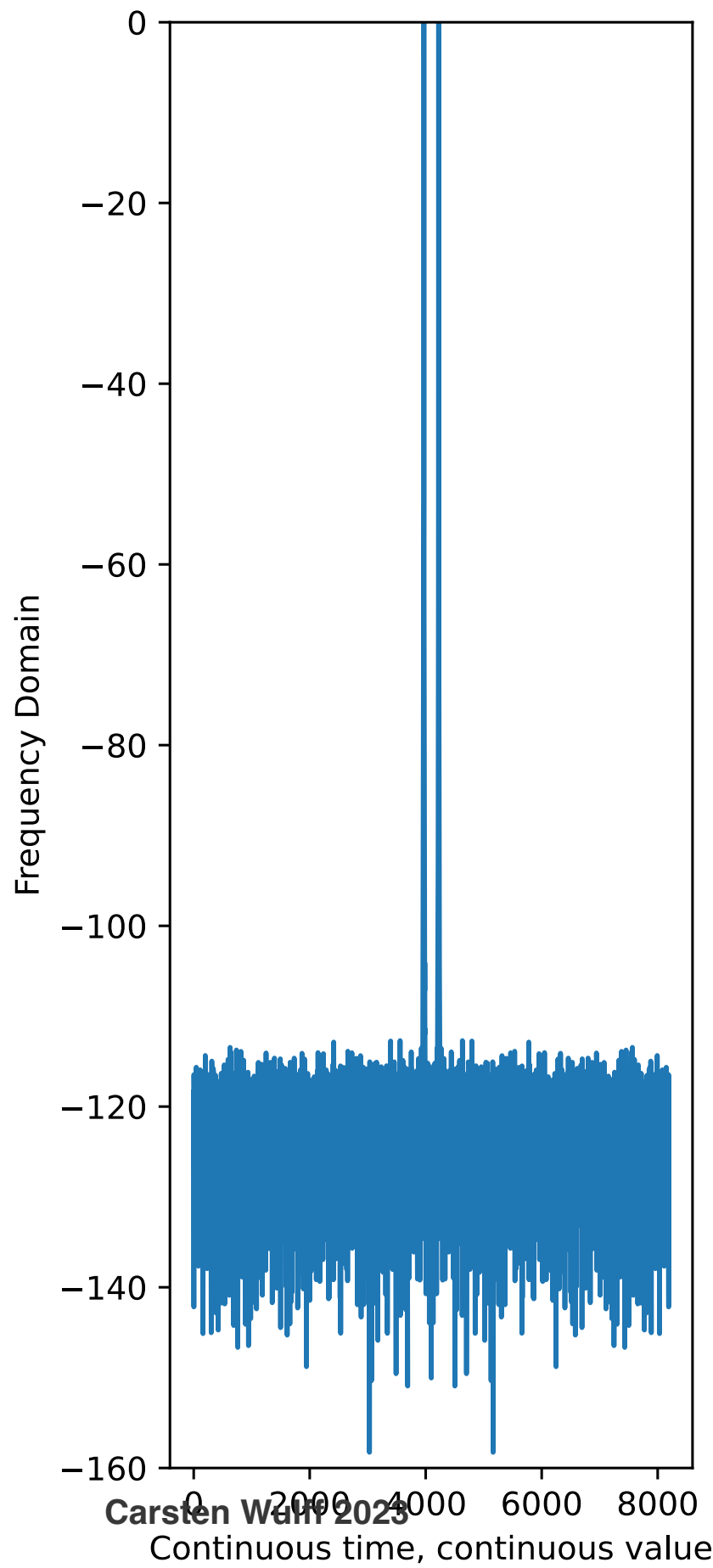
$$SQNR = 6.02B + 1.76 - 5.17 + 30 \log(OSR)$$

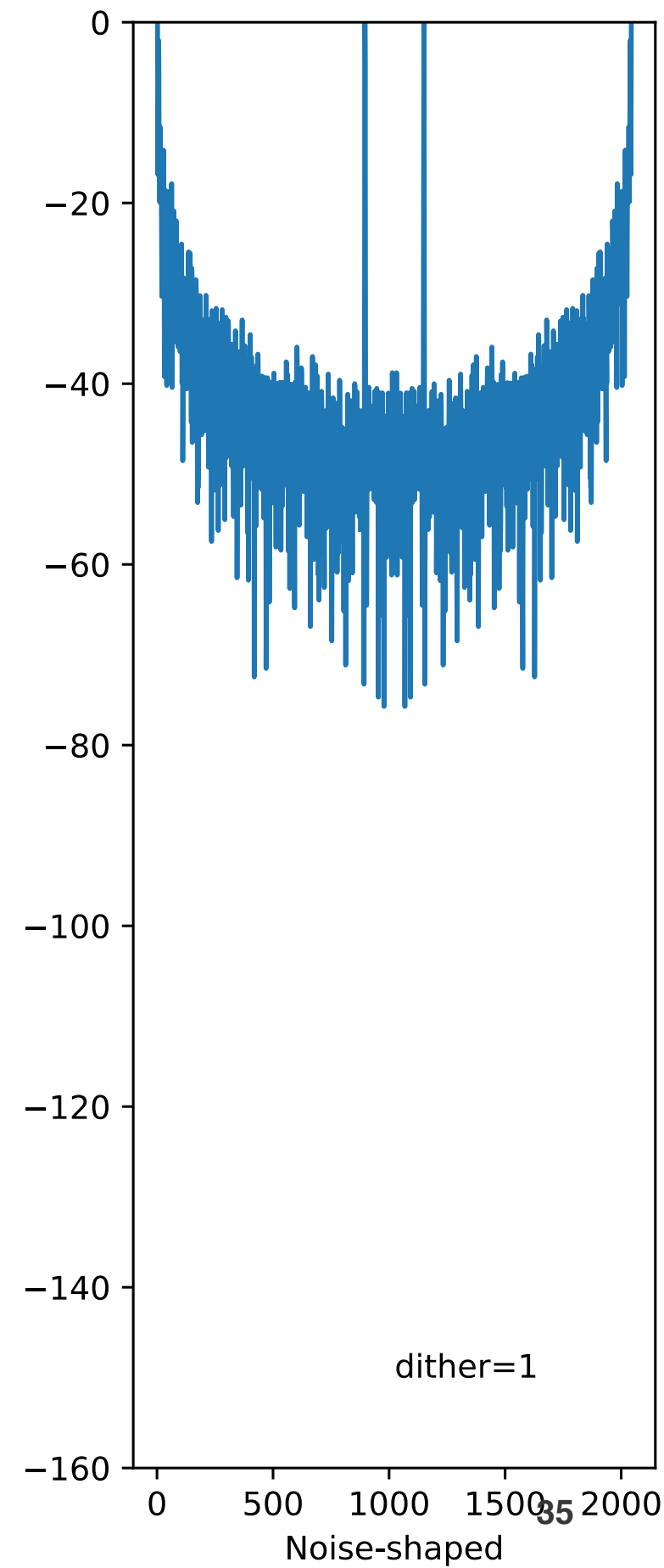
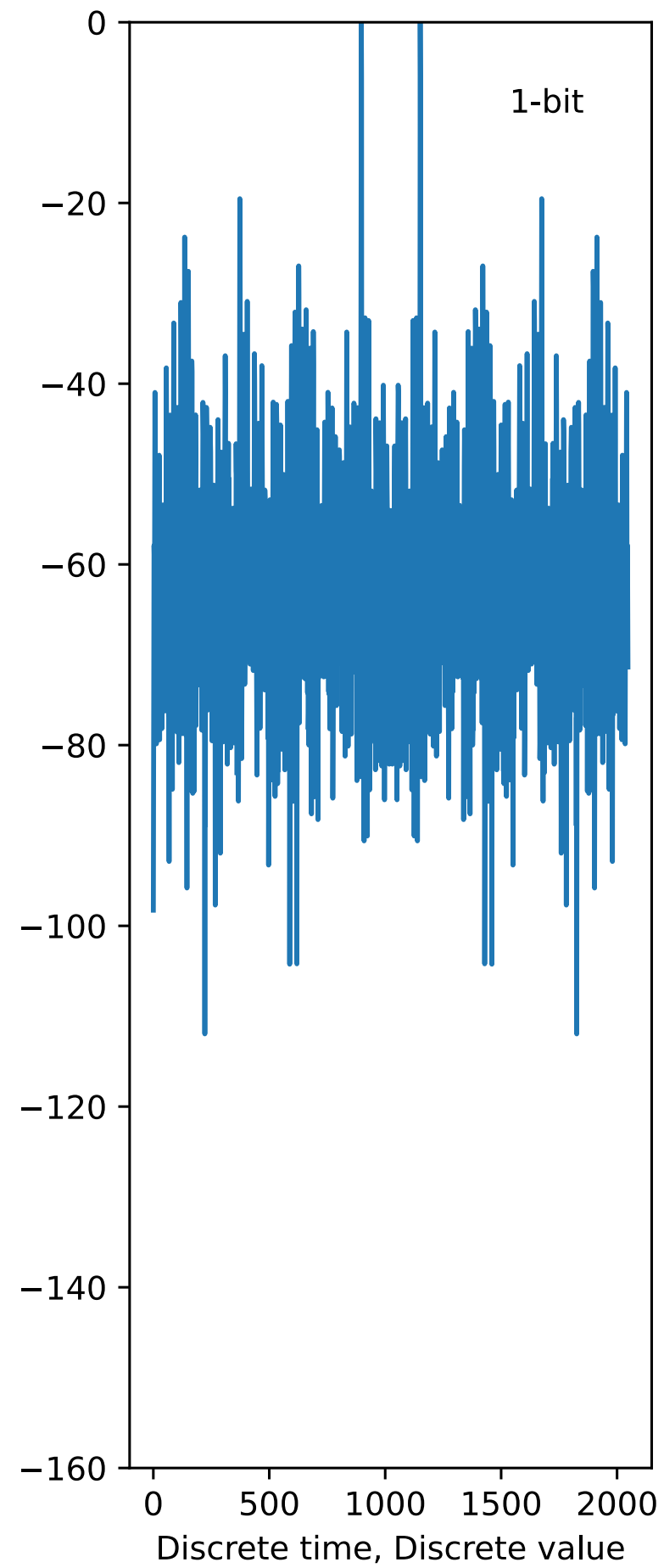
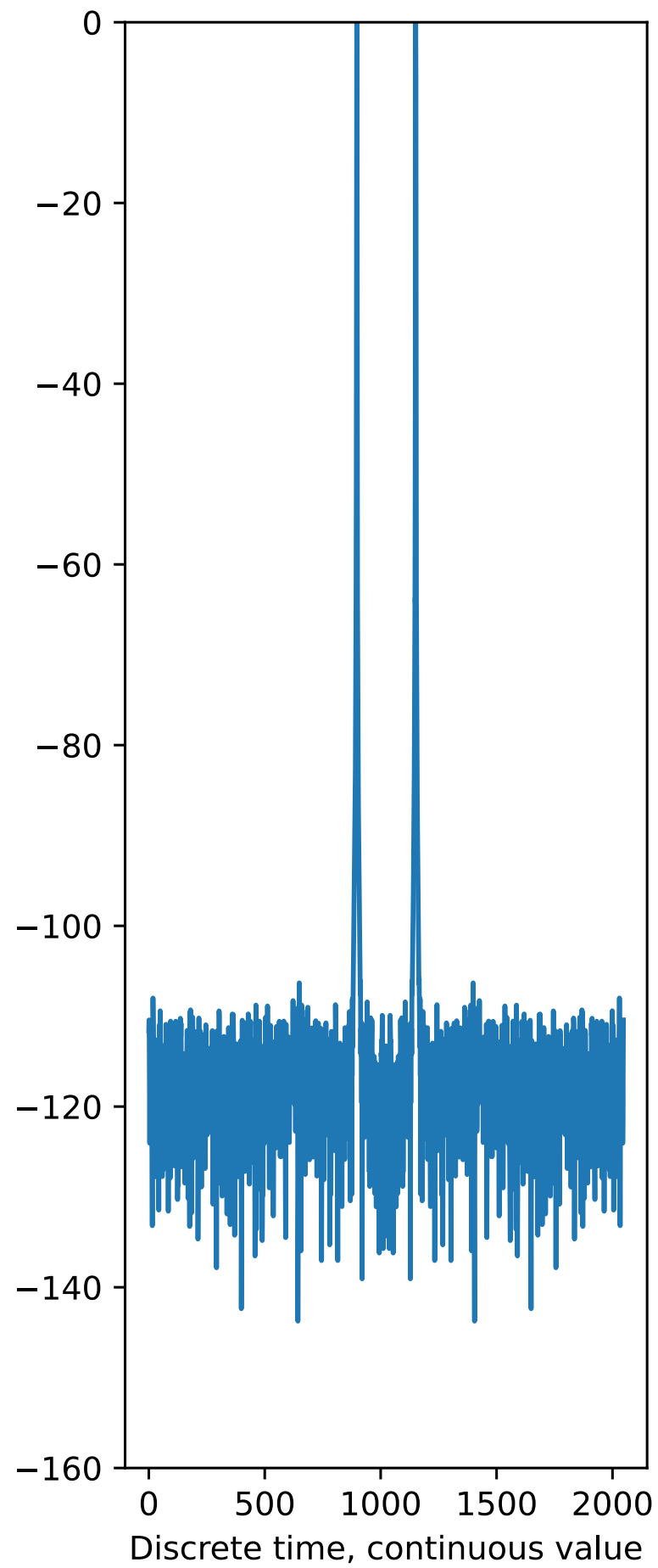
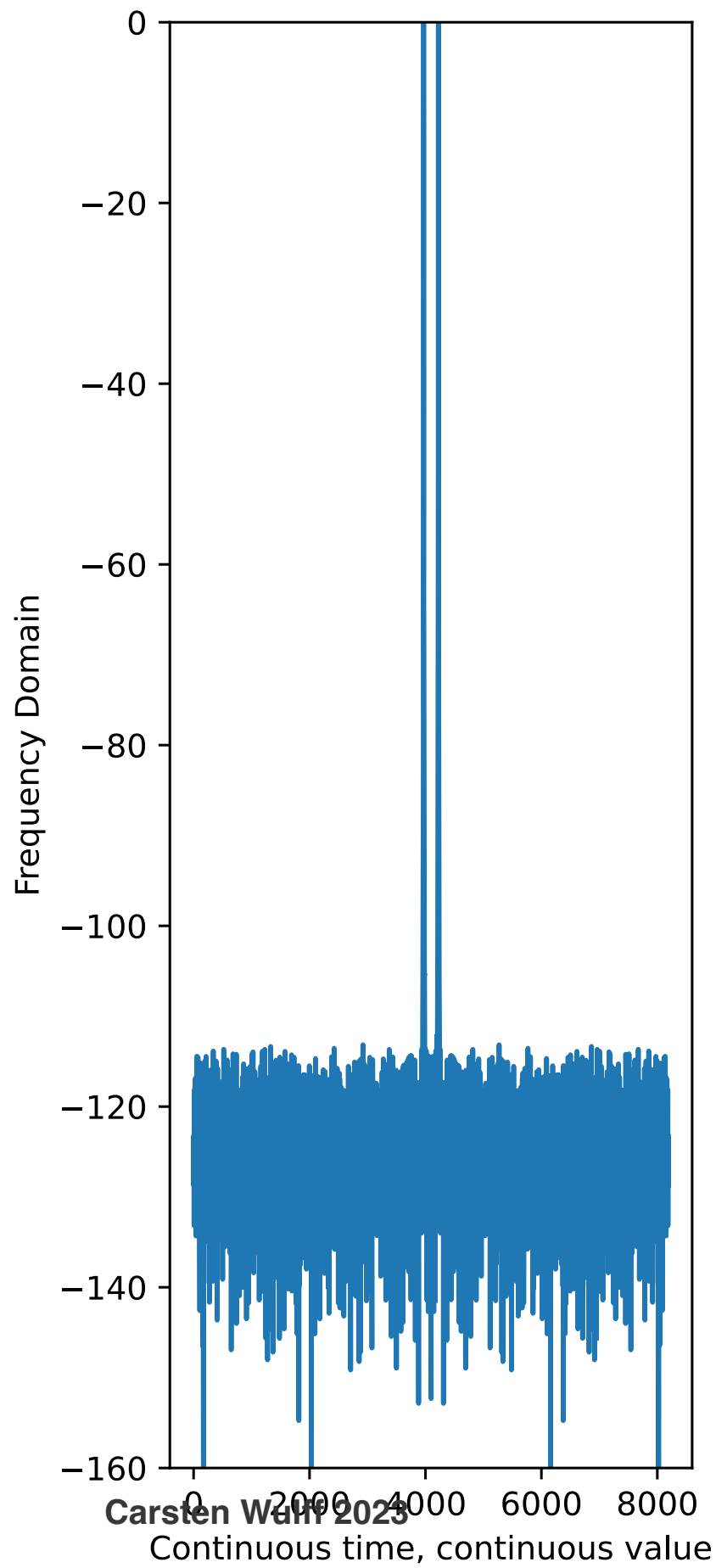
Assume 1-bit quantizer, what would be the maximum ENOB?

<b>OSR</b>	<b>Oversampling</b>	<b>First-Order</b>	<b>Second Order</b>
4	2	3.1	3.9
64	4	9.1	13.9
1024	6	15.1	23.9

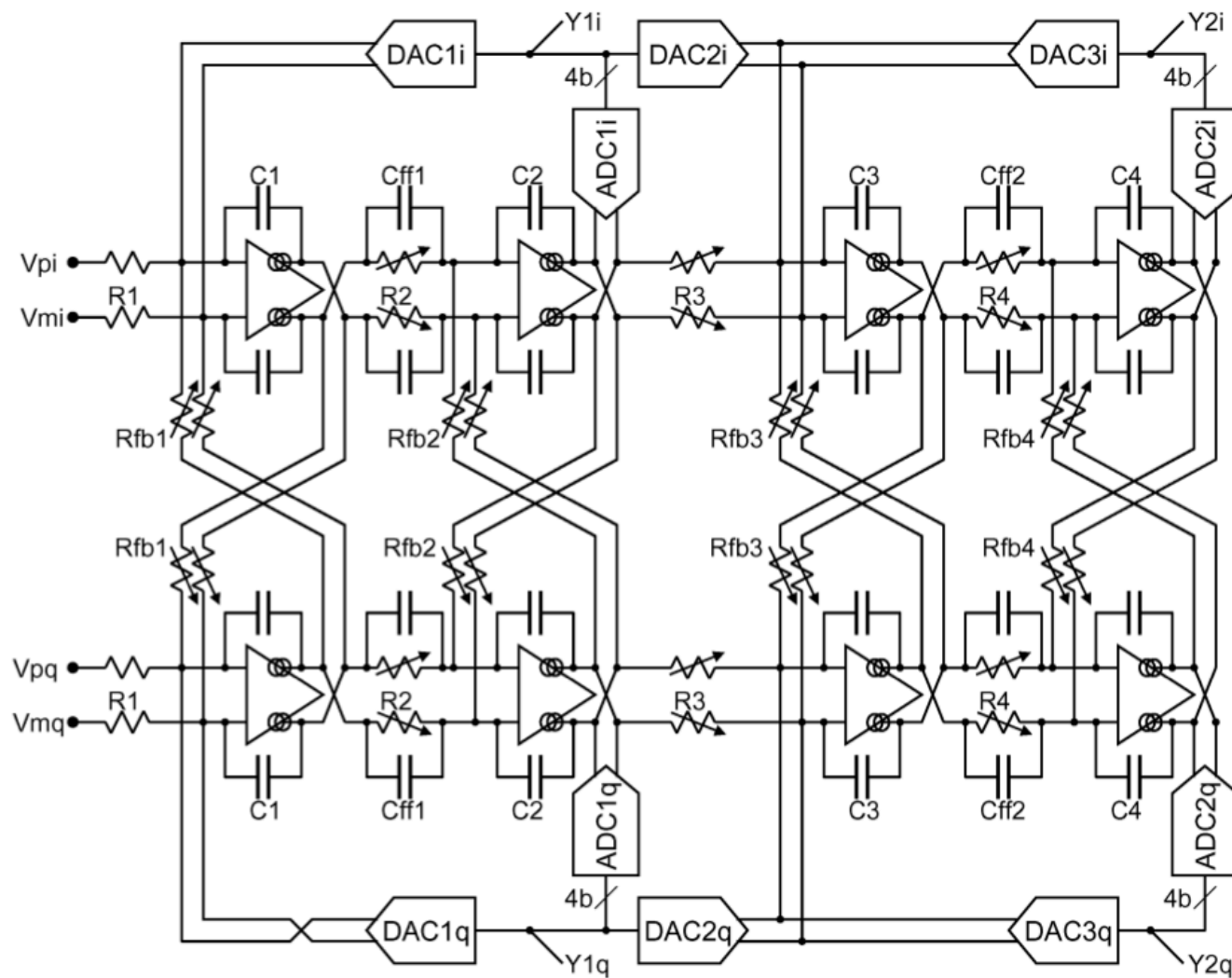


```
# x_sn is discrete time, continuous value input
dither = 0
M = len(x_sn)
y_sd = np.zeros(M)
x = np.zeros(M)
for n in range(1, M):
    x[n] = x_sn[n-1] + (x_sn[n] - y_sd[n-1])
    y_sd[n] = np.round(x[n] * 2**bits
        + dither * np.random.randn() / 4) / 2**bits
```





# A 56 mW Continuous-Time Quadrature Cascaded Sigma-Delta Modulator With 77 dB DR in a Near Zero-IF 20 MHz Band



sigma-delta modulator design.

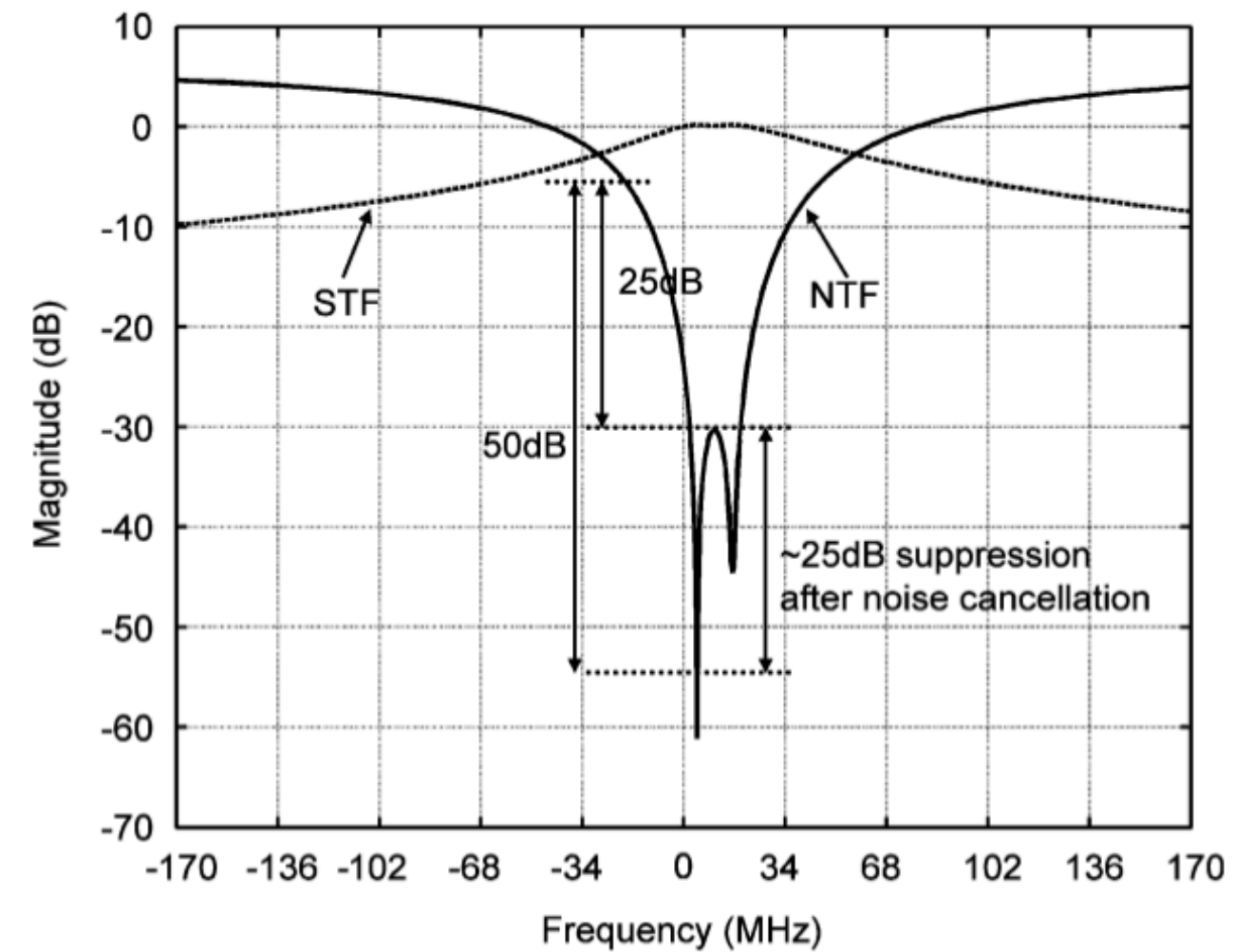
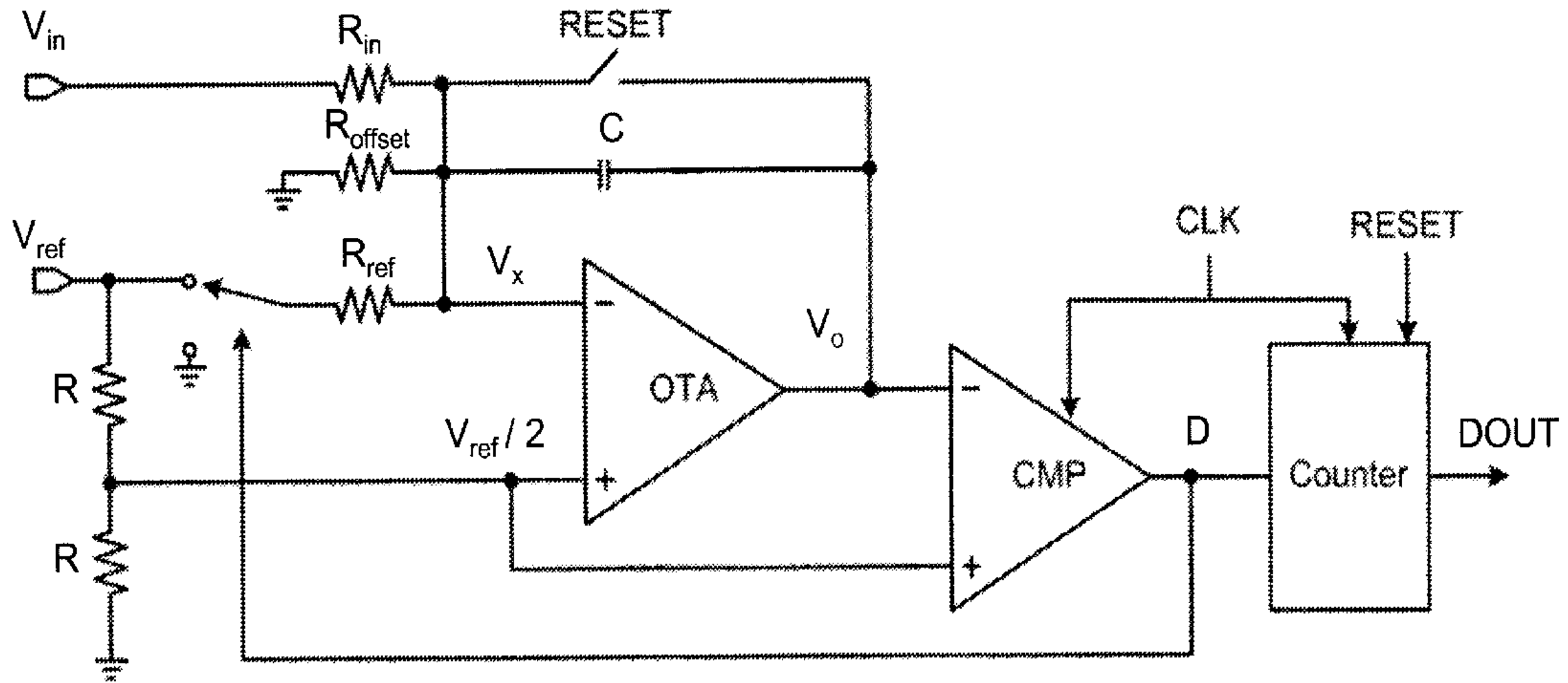


Fig. 9. NTF and STF of first stage.

# Analogue-to-digital converter



**Thanks!**